Circular No.: NSDL/POLICY/2023/0145 October 12, 2023

Subject: SEBI Master Circular for Depositories.

As Participants are aware, Securities and Exchange Board of India (SEBI) has been issuing various circulars/ directions from time to time. In order to enable the users to have an access to all the applicable circulars/ directions at one place, Master Circular for Depositories has been prepared by SEBI and communicated vide Circular No. **SEBI/HO/MRD/MRD-PoD-2/P/CIR/2023/166** dated **October 06, 2023**.

This Master Circular is a compilation of the circulars/communications issued by SEBI up to August 31, 2023 and shall come into force from the date of its issue.

Copy of this Master Circular is enclosed for information and compliance.

For and on behalf of
National Securities Depository Limited

Arockiaraj
Manager

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month	Through e-PASS	Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter.
Networth Certificate and Audited Financial Statements (yearly)	October 31st	Through e-PASS	Para 27 of NSDL Master Circular for Participants on Internal Controls/Reporting to NSDL / SEBI chapter and Circular Nos. 1.NSDL/POLICY/2023/0069 dated June 16, 2023, 2.NSDL/POLICY/2023/0122 dated September 11, 2023, 3.NSDL/POLICY/2023/0131 dated September 25, 2023.
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15th of the following month.	Through e-PASS	Para 10 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter and NSDL/POLICY/2023/0142 dated October 05, 2023.
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15th of the following month	Through e-PASS	Para 2.59 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Internal/ Concurrent Audit Report (April - September)	November 15th	Through e-PASS	Para 20.4 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter and Circular No. NSDL/POLICY/2023/0141 dated October 04, 2023.
Risk Assessment Template (April - September)	October 30th	Through e-PASS	Para 7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Reporting of status of the surveillance alerts generated by Participants (Quarterly)	Within 15 days from end of the quarter	Through e-PASS	Para 11.7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.

Digitally Signed By
Name: AROCKIARAJ
Date:12/10/2023 16:32:44
Reason: Authentication
Location: Mumbai