

Circular No.: NSDL/POLICY/2023/0053

April 17, 2023

Subject: Annual assessment of default status of debt securities

Attention of Participants is hereby invited to Chapter XI on Operational framework for transactions in defaulted debt securities post maturity date / redemption date of SEBI circular no. SEBI/HO/DDHS/P/CIR/2021/613 dated August 10, 2021. As per clause 9 under Chapter XI of the aforesaid SEBI circular dated August 10, 2021, annual assessment of default status in respect of debt securities which have been identified as Defaulted in Redemption in NSDL system as on March 31, 2023 has been conducted. Accordingly, based on the updates on redemption payment of the aforesaid debt securities provided by respective Issuers / Debenture Trustees, the details of the assessment of such debt securities are as follows;

1. List of debt securities enclosed as **Annexure I** continues to remain in Defaulted in Redemption status in NSDL system.
2. The list of debt securities enclosed as **Annexure II** have been removed from the list of debt securities defaulted in redemption pursuant to repayment of maturity proceeds / restructuring and restriction on transactions post maturity / redemption date have been imposed.

Participants are requested to take note of the above and guide their clients suitably.

**For and on behalf of
National Securities Depository Limited**

Digitally signed by AROCKIARAJ

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**Arockiaraj
Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Risk based Supervision of Participants (Half yearly)	April 30	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0046 dated April 30, 2015 2. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (Half yearly)	May 15	Through e-PASS	Circular No.: NSDL/POLICY/2023/0048 dated April 01, 2023
Tariff Sheet (Yearly)	April 30	Through e-PASS	Circular No.: NSDL/POLICY/2023/0049 dated April 05, 2023