Circular No.: NSDL/POLICY/2023/0014 February 02, 2023

Subject: Facility to download monthly PMLA alerts from i-Assist intranet site

Attention of participants is invited to NSDL Circular No. NSDL/POLICY/2022/173 dated December 13, 2022 and NSDL email dated December 08, 2022 providing the operational guidelines to Principal Officer and Designated Director of Participants with respect to implementation of supplemental guidelines issued by FIU-IND vide letter ref. no. F. No. 9-2/2021/Intermediaries/FIU-IND dated July 21, 2022.

In this context, Participants are hereby informed that NSDL has started generating the transaction alerts based on the criteria mentioned in the aforesaid supplemental guidelines and will share these additional alerts on monthly basis through existing facility i.e. i-ASSIST (https://i-assist/).

The first such alerts (for the month of December 2022) has been made available on i-ASSIST on January 31, 2023 and thereafter on monthly basis. The participants will be able to download these monthly alerts through their existing Principal Officer login on NSDL i-Assist portal. The procedure for downloading these alerts is enclosed as Annexure.

Participants will be required to ascertain whether the aforesaid transactions/alerts intimated by NSDL on Monthly basis and on fortnightly basis as per circular no. NSDL/POLICY/2008/0088 dated December 30, 2008 are of a suspicious nature and submit the report directly to The Director, FIU-IND, in the prescribed format, within seven working days of establishment of suspicion.

For further information / clarification, Participants are requested to contact:

Name of the official	Telephone Number	Email address
Mr. Akshat Jain	(022) 4216 5733	AkshatJ@nsdl.co.in
Mr. Kiran Shetty	(022) 4216 5732	KiranS@nsdl.co.in
Mr. Amish Chhadva	(022) 2499 4639	AmishC@nsdl.co.in

For and on behalf of
National Securities Depository Limited

Arockiaraj
Manager

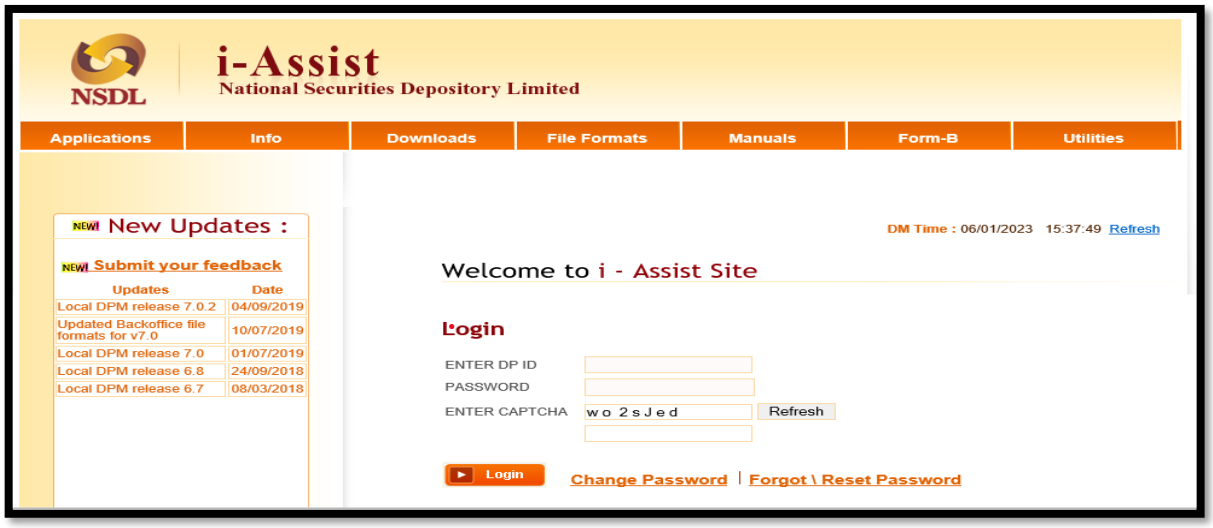
FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

Annexure

Procedure for downloading monthly PMLA Alerts through NSDL i-Assist portal:

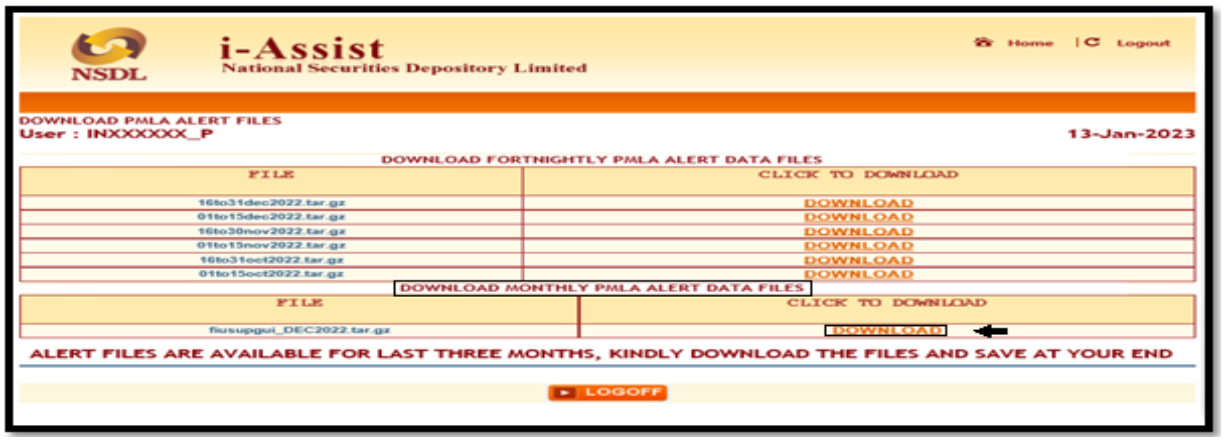
1. **Login Page for i-Assist:**

   Login to NSDL intranet site (https://i-assist/) with the existing Principal Officer User ID and password as exhibited below:



2. **View / Download monthly PMLA Alerts:**

   After login to i-Assist through Principal Officer login, Principal officer will be able to download the monthly alerts by clicking the link 'Download Monthly PMLA Alert Data Files' provided alongwith link of existing fortnight alerts as exhibited below:



   Participants are requested to take note that the aforesaid monthly and fortnightly alerts shall be available to the depository participants on NSDL i-Assist portal for the last 3 months. For previous PMLA alerts, participants to contact NSDL Surveillance Cell.