

Circular No.: NSDL/POLICY/2022/173

December 13, 2022

Subject: Supplemental Guidelines for detecting suspicious transactions under rule 7(3) of Prevention of Money Laundering (Maintenance of Records) Rules, 2005.

Participants are hereby informed that Financial Intelligence Unit-India (FIU-IND) vide its letter ref. no. F. No. 9-2/2021/Intermediaries/FIU-IND dated July 21, 2022 has advised depositories about supplemental guidelines for detecting suspicious transactions under rule 7(3) of Prevention of Money Laundering (Maintenance of Records) Rules, 2005.

In this regard, Principal Officer / Designated Director of Participants were intimated vide our email dated August 10, 2022 that the operational guidelines from NSDL for the above will be issued separately.

Further, NSDL vide its email dated December 08, 2022 informed the operational guidelines to Principal Officer and Designated Director of Participants with respect to implementation of the aforesaid supplementary guidelines issued by the FIU-IND i.e. frequency for downloading the new additional alerts by Participants, parameters / criteria of alerts, format used by NSDL for providing the alerts etc., to the Participants.

Participants are requested to take note of the above and ensure compliance.

For further information / clarification in this matter or non-receipt of aforesaid email by Principal Officer / Designated Director of Participants, Participants may contact following NSDL officials:

Name of the official	Telephone Number	email ID
Mr. Amish Chhadva	022-24994639	amishc@nsdl.co.in
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Mr. Vikas Kumar Jain	022-24994297	vikask@nsdl.co.in

For and on behalf of

National Securities Depository Limited

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FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

