

Circular No.: NSDL/POLICY/2022/105

July 28, 2022

Subject: CERT-IN Advisory on Preventing Data Breaches / Data leaks

Attention of Participants is invited to NSDL circular no. NSDL/POLICY/2022/034 dated March 09, 2022 regarding systems to be put in place for preventing data breaches / data leaks.

All Participants are hereby advised to maintain adherence to CERT-IN advisory CIAD-2021-0004 dated January 20, 2021. Further, Participants advised to have an approved Data Leak Policy (DLP) and solution to monitor and prevent data leakage.

Also as part of Participants Systems Audit, the auditor shall review the following:

1. Whether comprehensive Data Leak Policy is in place.
2. Whether Data Leak solution is in place.
3. Exception reporting and escalation mechanism is in place.
4. Whether incidents related to data breaches / data leaks are reported in timely manner to CERT-IN, SEBI and NSDL.

Participants are requested to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Arockiaraj
Manager

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (half yearly)	July 31	Through e-PASS	Circular No.: NSDL/POLICY/2020/0152 dated November 19, 2020

Digitally signed by

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