Circular No.: NSDL/POLICY/2022/077 May 31, 2022

Subject: SEBI Orders issued under Section 11B of the Securities and Exchange Board of India Act, 1992.

1. Attention of Participants is invited to the following orders passed by SEBI restraining following persons from accessing the securities market, and further prohibition from buying, selling or otherwise dealing in securities, directly or indirectly, and associating with the securities market in any manner, for specific period from the date of the order.

Sr. No.	Noticee Name	SEBI Order No.	Order Date	Period
1	Aries Capital Fund Limited	WTM/GM/IVD/ID4/13893/2021-22	October 26, 2021	8 years
2	Bhavani Trading Company	WTM/GM/EFD/DRA1/13919/2021-22	October 27, 2021	1 year
3	Cardinal Capital Partners	WTM/GM/IVD/ID4/13744/2021-22	October 14, 2021	2 Years
		WTM/GM/IVD/ID4/13817/2021-22	October 22, 2021	2 Years
		WTM/GM/IVD/ID4/13684/2021–22	October 06, 2021	2 Years
4	European American Investment Bank AG	WTM/GM/IVD/ID4/13684/2021–22	October 06, 2021	2 years
		WTM/GM/IVD/ID4/13893/2021-22	October 26, 2021	2 years
		WTM/GM/IVD/ID4/13817/2021-22	October 22, 2021	2 years
5	Golden Cliff	WTM/GM/IVD/ID4/13810/2021-22	October 22, 2021	8 years
		WTM/GM/IVD/ID4/13684/2021–22	October 06, 2021	2 years
		WTM/GM/IVD/ID4/13744/2021-22	October 14, 2021	2 years
		WTM/GM/IVD/ID4/13893/2021-22	October 26, 2021	2 years
		WTM/AB/IVD/ID4/2/2021-22	October 26, 2021	2 years
		WTM/GM/IVD/ID4/13817/2021-22	October 22, 2021	2 years
6	KBC Aldini Capital Limited	WTM/GM/IVD/ID4/13810/2021-22	October 22, 2021	8 years
		WTM/GM/IVD/ID4/13744/2021-22	October 14, 2021	2 years
		WTM/GM/IVD/ID4/13817/2021-22	October 22, 2021	2 years

Sr. No.	Noticee Name	SEBI Order No.	Order Date	Period
7	Om Associates	WTM/GM/EFD/DRA1/13919/2021-22	October 27, 2021	1 year
8	Pan Asia Advisors Ltd	WTM/AB/IVD/ID4/2/2021-22	October 26, 2021	2 years
		WTM/GM/IVD/ID4/13810/2021-22	October 22, 2021	8 Years
		WTM/GM/IVD/ID4/13744/2021-22	October 14, 2021	As per the period specified in SEBI order
		WTM/GM/IVD/ID4/13893/2021-22	October 26, 2021	8 Years
9	Pincon Securities Limited	WTM/GM/EFD-1 –DRA -3/49/2021-22	October 26, 2021	5 Years
10	Vintage FZE (now known as Alta Vista International FZE)*	WTM/GM/IVD/ID4/13810/2021-22	October 22, 2021	8 Years
		WTM/GM/IVD/ID4/13684/2021–22	October 06, 2021	8 Years
		WTM/GM/IVD/ID4/13744/2021-22	October 14, 2021	As per the period specified in SEBI order
		WTM/GM/IVD/ID4/13893/2021-22	October 26, 2021	As per the period specified in SEBI order
		WTM/AB/IVD/ID4/2/2021-22	October 26, 2021	As per the period specified in SEBI order,

Attention of Participants is invited to the following Orders passed by SEBI restraining following persons (Sr. No. 1 to 3) from associating with any Market Infrastructure Institution or any intermediary registered with SEBI for specific period from the date of this order, and restrained persons mentioned below (Sr. No. 4 to 7) from associating themselves with any intermediary registered with SEBI, any listed public company or acting as Directors/promoters of any public company which intends to raise money from the public, till further orders :-

Sr. No.	Noticee Name	PAN	SEBI Order No.	Order Date	Period
1	Ms. Chitra Ramkrishna	ABVPR7353M	WTM/AB/MRD/DSA/21/2021-22	February 11, 2022	3 Years
2	Mr. Ravi Narain	AAYPN8382Q			2 Years
3	Mr. Anand Subramanian	AARPA8290K			3 Years
4	Mr. Anil D. Ambani	AADPA3703D	WTM/SM/CFID /57/2021-22		Till further orders
5	Amit Bapna	AAYPB9659A			
6	Ravindra Sudhalkar	AGGPS1926B			
7	Pinkesh R. Shah	ABAPS2169R			

Participants are requested to take note of the same and ensure compliance.

For and on behalf of

National Securities Depository Limited

Arockiaraj

Manager

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Annual System Audit Report (y early)	June 30	Through e-PASS	Circular No.: NSDL/POLICY/2022/068 dated May 13, 2022

Digitally signed by
Name : AROCKIARAJ
Date : 31-05-2022 15:22:32
Reason : Authentication