

Circular No.: NSDL/POLICY/2022/065

May 06, 2022

Subject: DIVS GAP Report utility version 1.2

This is with reference to NSDL Circular Nos. NSDL/POLICY/2014/0132 dated December 26, 2014 & NSDL/POLICY/2016/0063 dated June 27, 2016, wherein DIVS GAP Report Utility was provided in Local DPM system so as to enable Participants to download reports for verification of DIS instructions which are executed in the eDPM system and are posted on the DIVS.

Participants are requested to take note that the GAP report has been further enhanced to cover the following:

1. DP ID wise pending DIS details in case of merged DPM set-ups will be included in the GAP report. For example, for merged DPM set-ups the GAP report will be inclusive of all DP ID's shown as under;

S R _ N O	DP_ID	CLIENT_ID	BP_INSTRUCTI ON_ID	DM_ORD_ NUM	EXECUTION_ DATE	VERIFY_REL_ _DATE	DIS_SERIAL_ _NO	DIS_FO RMA_T	DIS_TYPE	TRANSACTION_ N_TYPE	INSTRUCTION_ N_STATUS	REMA RK
1	IN302871	(Client Id)	(INSTRUCTION _ID)				(DIS_SERIAL _NO)	NEW	Physical	ACCOUNT TRANSFER	REJECTED	Test
2	IN302871	(Client Id)	(INSTRUCTION _ID)				(DIS_SERIAL _NO)	NEW	Physical	ACCOUNT TRANSFER	SETTLED	Test

2. In case of DIS, where some instructions are in cancelled status and other instructions are in the status which requires upload of DIS on DIVS, DIS details of such cases will be included in the GAP report.
3. In continuation to GAP report version 1.1 release, the DIS type '7-Demat Gateway' has been excluded from GAP report.

Accordingly, the revised report viz., 'DIS not in DIVS' will contain the aforesaid instructions for which DIS images are not uploaded on DIVS.

In this regard, a revised manual is enclosed containing steps to generate Gap reports. The new utility (version 1.2) is being made available under the link '**DIVS GAP REPORT 1.2**' in Download section on homepage of i-Assist. For any information / clarifications, Participants are requested to contact NSDL Help Desk at (022)-2499 4600 or email at helpdesk@nsdl.co.in

For and on behalf of
National Securities Depository Limited

**Arockiaraj
Manager**

Enclosed: User Manual

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October - March)	May 15th every year	Through e-PASS	Circular No.: NSDL/POLICY/2022/049 dated April 01, 2022

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NATIONAL SECURITIES DEPOSITORY LIMITED

DIVS GAP Report Utility V.1.2

User Manual

*This document specifies steps involved in retrieving data for Inspection from
LDPM.*

1. Please download **"Gap Report.zip"** from i-assist on LOCAL DPM server and extract it in C drive of server.

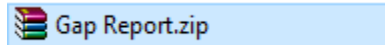
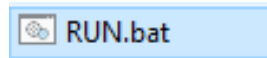


Figure 1

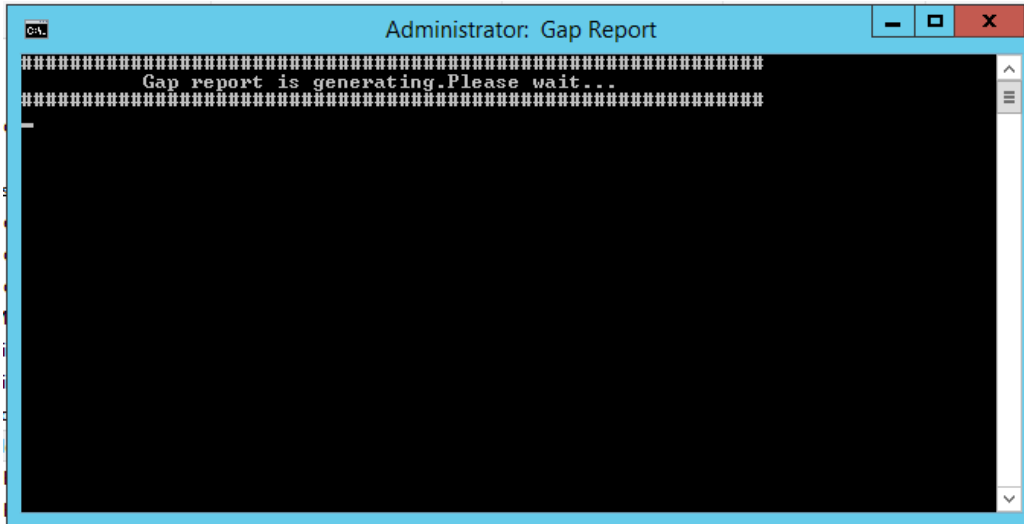
2. After extraction of the .zip file, **"Gap Report"** folder will appears consisting 10 files including 1 folder as shown below:

out	01-03-2021 21:33	File folder	
COD_DIVS_COMMON.sql	17-06-2021 19:46	Microsoft SQL Ser...	37 KB
COD_NOT_SCAN_DIS.sql	17-06-2021 19:50	Microsoft SQL Ser...	44 KB
crt_db_obj.bat	17-02-2016 18:17	Windows Batch File	1 KB
DIS_DIVS_RECO.sql	16-03-2021 17:36	Microsoft SQL Ser...	2 KB
Electronic_DIS_Upload_Report.sql	18-06-2021 13:09	Microsoft SQL Ser...	18 KB
HLDY.txt	17-02-2016 18:17	TXT File	4 KB
ReadMe.txt	17-02-2016 18:17	TXT File	1 KB
RUN.bat	17-06-2021 20:33	Windows Batch File	1 KB
SCAN_DIS_NOT_IN_COD.sql	17-06-2021 19:52	Microsoft SQL Ser...	11 KB

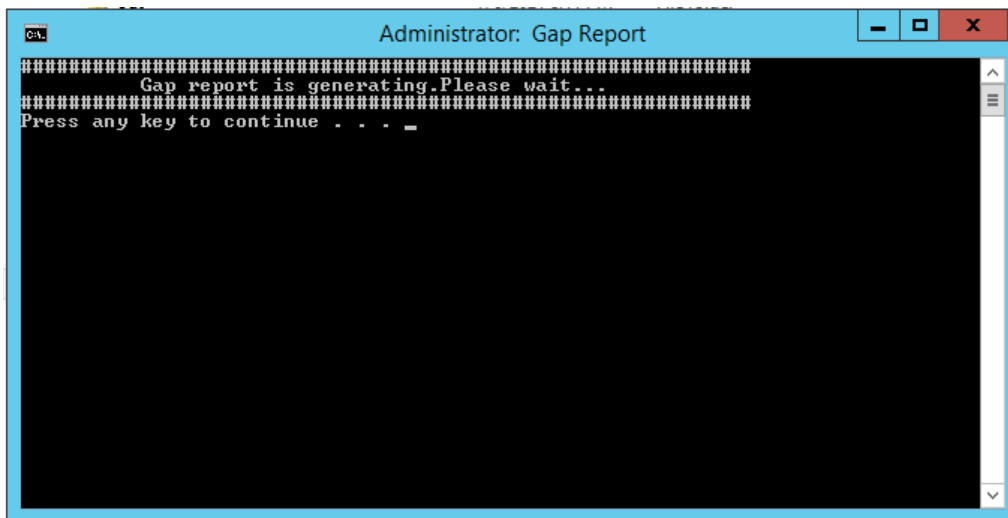
3. Participants have to execute **"RUN.bat"** by right clicking on it and select **Run as administrator**.



4. Post execution of **"RUN.bat"** file below window appeared with message as **"Gap report is generating. Please wait....."**



5. Once report gets generated, below window will appear and then press any key to continue.



6. Post completion of above steps **GAP Report** will be generated in the same **Gap_Report** folder.

 DIS_NOT_IN_COD.csv	7/6/2021 8:16 PM	CSV File	821 KB
 DIS_NOT_IN_DIVS.csv	7/6/2021 8:13 PM	CSV File	106 KB
 DIS_Pending_for_Scan_(DIS_Executed_on_T_or_T-1_d...	7/6/2021 8:13 PM	CSV File	1 KB
 DIVS_COD_COMPLIANCE.csv	7/6/2021 8:18 PM	CSV File	1,967 KB
 DIVS_RECO_NOT_UPLOADED_DIS.csv	7/6/2021 8:18 PM	CSV File	439 KB
 Electronic_DIS_Upload_Report.csv	7/6/2021 8:20 PM	CSV File	198 KB