Circular No.: NSDL/POLICY/2022/032 March 09, 2022

Subject: Implementation of Section 51A of UAPA, 1967: updates to UNSC 1267/1989 ISIL (Da'esh) & Al-Qaida Sanctions List: Removal of 2 entries.

All Participants are hereby informed that SEBI vide its notification dated March 04, 2022 (copy enclosed) has informed about the updates to the list of United Nations Security Council under UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida sanctions list. SEBI has advised necessary compliance as required under provisions of SEBI Master Circular Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated October 15, 2019 which *inter-alia* states that:

1. Registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs) and
2. Registered intermediaries should continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.

In this regard, United Nations Security Council (UNSC) Committee established pursuant to Resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning ISIL (Da'esh), Al-Qaida, and associated individuals, groups, undertakings and entities below on the Sanctions List of individuals and entities subject to the assets freeze, travel ban, and arms embargo set out in paragraph 1 of Security Council resolution 2368 (2017) under Chapter VII of the Charter of the United Nations has issued the following notification.

1. Note SC/14818 dated March 03, 2021, regarding the removal of 2 individuals in UNSC's 1267/1989 ISIL (Da'esh) & Al-Qaida Sanctions list. a) QDi.346 Name: 1. ABD AL Malik 2. MUHAMMAD 3. YUSUF 4. 'UTHMAN' ABD AL-SALAM and b) QDi.402 Name 1. NAYIF 2. SALIH 3. SALIM 4. AL-QAYSI The UNSC press release concerning the list of amended entries is available at URL: https://www.un.org/press/en/content/press-release

2. List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida 2011)
   www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list

3. List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban https://www.un.org/securitycouncil/sanctions/1988/materials

Participants are advised as under:

1. Follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
2. Participants should scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019
3. Forward any request for de-listing received, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: jsunp@mea.gov.in).
4. Inform to individuals, groups, undertakings or entities seeking to be removed from the Security Council's ISIL (Da'esh) and Al-Qaida Sanctions List that they can submit their request for delisting to an independent and impartial Ombudsperson who has been appointed by the United Nations Secretary-General. More details are available at the following URL: https://www.un.org/securitycouncil/ombudsperson/application

Further, updates regarding amendments made to UNSC Sanctions list as received from Ministry of Home Affairs (MHA) are also available on SEBI Website under Head Media in a separate tab UNSC Sanctions Committee List.

For and on behalf of

National Securities Depository Limited

Gayak Jalan
Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Charge Structure	April 30th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007

GAYAK JALAN
Authentication
2022.03.09 10:59:48 +05'30'

March 04, 2022

Implementation of Section 51A of UAPA,1967: Updates to UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List: Removes 2 Entries from Its Sanctions List

1. Clause 2.8 of the SEBI Master Circular **Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under** dated Oct 15, 2019 inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs). Registered intermediaries shall continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.

2. In this regard, United Nations Security Council (UNSC) Committee established pursuant to Resolutions 1267 (1999), 1989 (2011) and 2253 (2015) concerning ISIL (Da'esh), Al-Qaida, and associated individuals, groups, undertakings and entities below on the Sanctions List of individuals and entities subject to the assets freeze, travel ban, and arms embargo set out in paragraph 1 of Security Council resolution 2368 (2017) under Chapter VII of the Charter of the United Nations has issued the following notification.

   Note SC/14818 dated March 03, 2021, regarding the removal of 2 individuals in UNSC's 1267/1989 ISIL (Da'esh) & Al-Qaida Sanctions list.
   a) **QDi.346 Name: 1. ABD AL Malik 2. MUHAMMAD 3. YUSUF 4. 'UTHMAN' ABD AL-SALAM and**
   b) **QDi.402 Name 1. NAYIF 2. SALIH 3. SALIM 4. AL-QAYSI**

   The UNSC press release concerning the list of amended entries is available at URL**:** https://www.un.org/press/en/content/press-release

3. The latest versions of the Sanctions lists are accessible on the UN Security Council's website at the following URL:

   a) List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida

www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list

b) List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban https://www.un.org/securitycouncil/sanctions/1988/materials

4. Stock Brokers/Depositories/AMFI/Registered intermediaries are advised to

a) Circulate this press release to respective members/ participants/AMC's for necessary compliance as required.

b) Follow the procedure as well as advise their respective members/participants/AMC's to follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.

c) Scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019, and

d) Forward any request for de-listing received by them, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: jsunp@mea.gov.in).

5. As per the instructions from Ministry of Home Affairs (MHA), individuals, groups, undertakings or entities seeking to be removed from the Security Council's ISIL (Da'esh) and Al-Qaida Sanctions List can submit their request for delisting to an independent and impartial Ombudsperson who has been appointed by the United Nations Secretary-General

More details are available at the following URL:
https://www.un.org/securitycouncil/ombudsperson/application