Circular No.: NSDL/POLICY/2021/0127 December 27, 2021

Subject - Clarification on Mandatory updation of certain attributes of KYC of clients

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2021/0036 dated April 07, 2021 regarding Mandatory updation of certain attributes of KYC of clients and Circular No. NSDL/POLICY/2021/0081 dated July 30, 2021 regarding revised framework for Institutional clients and non-Institutional clients operating through Custodians.

Based on the representations received from Participants and as per discussion held with other MIIs and SEBI, it is decided that the framework applicable to institutional investors **i.e. either landline number or mobile number can be obtained and income range shall be optional**, may be made applicable to the following category of clients:

i. President of India, Central Government, State Government, and the officials appointed by the courts e.g. Official liquidator, Court receiver etc. (under the category of Government)

ii. Bank - Depository Receipt (Banking entity which doesn't falls under definition defined u/s 5(1)(c) of Banking Regulation Act, 1949 and such entity accounts held in relation to the Depository Receipts issued by Indian companies abroad)

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Gayak Jalan
Manager

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

Digitally signed by
Name : Gayak Jalan
Date : 27-12-2021 16:15:25
Reason : Authentication