Circular No.: NSDL/POLICY/2021/0001 January 7, 2021

Subject: Changes in file formats in respect of Demat Gateway

As all Participants are aware that NSDL has launched Demat Gateway to facilitate Client to provide mandate to its stock broker for debiting client's demat account towards its settlement obligation. Demat Gateway facilitates API interface between Stock Broker's web portal and NSDL depository system for Clients to authenticate their instructions for sale of securities or redemption (through stock exchange platform) of mutual fund units in their demat account.

In this regard, Participants are requested to take note of the following and ensure compliance:

1) New DIS Type i.e. **'07-Demat Gateway'** is incorporated under eDPM system to execute eDIS instructions received from stock broker based on the mandate given by client through NSDL Demat Gateway.
2) All eDIS instructions for debiting the securities which will be received from stock broker based on the mandate given by the client to stock broker through NSDL Demat Gateway shall be mandatorily executed under DIS Type **'07-Demat Gateway'** on eDPM system.
3) New field viz., **'eDIS Mandate Reference Number'** has been added in respect of Account Transfer instructions (Transaction Type: 904) in eDPM system to capture the mandate reference number in respect of Demat Gateway transactions. The changes in file formats (DPM Transaction Upload and Changed Orders for the Day Download) in respect of the back office interface with DPM system are enclosed at **Annexure**.

All Participants are advised to make necessary changes in their back office system latest by **January 12, 2021**. The detailed guidelines and implementation details in respect of Demat Gateway functionality will be shared separately.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Networth Certificate and Audited Financial Statements for the FY 2019-20	January 31st, 2021	By hard copy to Inspection Department	1. Circular No. NSDL/PI/98/414 dated September 1, 1998 2. Circular No. NSDL/POLICY/2020/0131 dated September 30, 2020 3. Circular No. NSDL/POLICY/2020/0144 dated October 30, 2020
Compliance Certificate (July - December)	January 31st every year	Through e-PASS	Circular No. NSDL/POLICY/2020/0152 dated November 19, 2020.
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15th of the following month	Through e-PASS	1. Circular No. NSDL/POLICY/2019/0039 dated July 9, 2019. 2. Circular no. NSDL/POLICY/2020/0069 dated May 15, 2020.
Artificial Intelligence I/Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15th of the following month.	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019

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Reason : Authentication