Circular No.: NSDL/POLICY/2020/0163 December 26, 2020

**Subject: Amendments to Business Rules of NSDL - OTP for off-market transfer.**

Participants are hereby informed that amendment has been made to Business Rule 12.3.1 of Business Rules of NSDL as enclosed at **Annexure**.

Participants are requested to take note of the aforesaid and ensure compliance.

For and on behalf of
**National Securities Depository Limited**

**Chirag Shah**
**Senior Manager**

Enclosed: One

| FORTHCOMING COMPLIANCE | | | |
|---|---|---|---|
| **Particulars** | **Deadline** | **Manner of sending** | **Reference** |
| Investor Grievance Report (Monthly) | By 10th of the following month. | Through e-PASS | Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015 |
| Networth Certificate and Audited Financial Statements for the FY 2019-20 | January 31st, 2021 | By hard copy to Inspection Department | Circular No. NSDL/PI/98/414 dated September 1, 1998<br>Circular No. NSDL/POLICY/2020/0131 dated September 30, 2020<br>Circular No. NSDL/POLICY/2020/0144 dated October 30, 2020 |
| Compliance Certificate (July - December) | January 31st every year | Through e-PASS | Circular No. NSDL/POLICY/2020/0152 dated November 19, 2020. |
| System Audit Report (Annual) | December 31, 2020 | Through e-PASS | 1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020<br>2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020<br>3. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020<br>4. Circular No. NSDL/POLICY/2020/0157 dated December 02, 2020. |
| Internal/ Concurrent Audit Report (April - September) | December 31, 2020 | Through e-PASS | 1. Circular No. NSDL/POLICY/2020/0136 dated October 19, 2020<br>2. Circular No. NSDL/POLICY/2020/0160 dated December 11, 2020 |

Digitally signed by
Name : Chirag Shah
Date : 26-Dec-20 2:40:58 PM
Reason : Authentication