Circular No.: NSDL/POLICY/2019/0088 December 17, 2019

Subject: Facility for submission of Compliance Certificate in electronic form through e-PASS.

Attention of Participants is invited to Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018 regarding submission of half yearly Compliance Certificate by Participants in prescribed format by January 31 and July 31 of every year.

In this context, Participants are hereby informed that NSDL has developed a facility for online submission of Compliance Certificate in electronic form through e-PASS platform. Accordingly, Participants are requested to take note to submit Compliance Certificate for half year ended December 31, 2019 and onwards through e-PASS platform only.

The Compliance Officer will be required to submit the digitally signed Compliance Certificate online through e-PASS platform using its login credentials, the detailed procedure of which is enclosed at **Annexure A** and the applicable format of 'Compliance Certificate' is enclosed at **Annexure B**.

For further information / assistance, Participants are requested to contact Ms. Nisha Purushnani (Tel: (022) 2499 4710), Mr. Ganesh Choure, Tel: (022) 24994273 or send an email on NSDL-BP-INSPECTION@nsdl.co.in and for technical queries, Participants are requested to contact Mr. Shiv Kumar, Tel: (022) 2499 4785 / shivkumarj@nsdl.co.in.

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year	Through e-PASS	Circular No. NSDL/ POLICY/2019/0088 dated December 17, 2019.

Digitally signed by
Name : Chirag Shah
Date : 12/17/2019 6:16:50 PM
Reason : Authentication