Circular No.: NSDL/POLICY/2019/0076 November 13, 2019

Subject: Cyber Security & Cyber Resilience framework for Depository Participants - Clarifications.

Attention of Participants is invited to SEBI Circular no. SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018 (NSDL Circular nos. NSDL/POLICY/2018/0069 & NSDL/POLICY/2019/0039 dated December 06, 2018 and July 09, 2019 respectively) regarding Cyber Security & Cyber Resilience framework of Depository Participants. In this context, SEBI has vide its Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2019/109 dated October 15, 2019 issued clarification on the aforesaid SEBI circular. Copy of the same is enclosed.

In this context, Participants are requested to take note of the following and ensure compliance:

1. With regards to Paragraph 52 of Annexure 1 of the SEBI circular dated December 03, 2018, the quarterly report format as prescribed at **Annexure A** needs to be filled and submitted to NSDL on email ID **dpinfosec@nsdl.co.in.**
2. For the quarter ended on September 30, 2019, quarterly reports shall be submitted by Participants not later than November 30, 2019 as per the format specified.
3. Effective from quarter ending on December 31, 2019, the time period for submission of the report shall be 15 days after the end of the quarter.
4. Participants who have not faced any cyber-attack/breach would also be required to submit quarterly report with nil observation in the prescribed format enclosed at **Annexure A**.
5. With regard to periodic audit as specified in paragraph 58 of Annexure 1 of the SEBI circular dated December 03, 2018, auditors qualified in following certifications can audit the systems of Participants to check the compliance of Cyber Security and Cyber Resilience provisions:
   CERT-IN empanelled auditor, an independent DISA (ICAI) Qualification, CISA (Certified Information System Auditor) from ISACA, CISM (Certified Information Securities Manager) from ISACA, CISSP (Certified Information Systems Security Professional) from International Information Systems Security Certification Consortium (commonly known as (ISC)^2).
6. Participants are requested to take note of the compliance schedule enclosed at **Annexure B**.

In view of the above, NSDL circular No. NSDL/POLICY/2019/0039 dated December 06, 2018 on the quarterly report of Cyber Security & Cyber Resilience framework of Depository Participants shall stands superseded and all Participants shall submit the report in the revised format enclosed at **Annexure A.**

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Three

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019
Cyber Security & Cyber Resilience framework of Depository Participants - (For the quarter ended on September 30, 2019)	November 30, 2019	By email at dpinfosec@nsdl.co.in	Circular No. NSDL/POLICY/2019/0076 dated November 13, 2019.

Digitally signed by
Name : Chirag Shah
Date : 11/13/2019 4:18:33 PM
Reason : Authentication