Index of features released in DPM Version 7.0

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Annexure

1. **Incorporation of New Client Sub-type in respect of Client Type viz., QIB under the Client Maintenance module in DPM system :**

The following new Client Sub-type has been incorporated in respect of Client Type viz., QIB under the Client Maintenance Module in DPM System:

Client	Type Code	New Sub-Type	New Sub-Type Code	Remarks
QIB	18	Systemically Important NBFCs	12	As per SEBI (ICDR) Regulations, 2018, 'systemically important non-banking financial companies' means a non-banking financial company registered with the Reserve Bank of India and recognized as systemically Important non-banking financial company by the Reserve Bank of India; NBFCs can open account under this client sub type.

Back-office impact: Yes. Informed to Participants vide NSDL circular no. NSDL/POLICY/2019/0013 dated March 11, 2019.

2. **Incorporation of new Field viz., Virtual ID (VID) under the Client Maintenance module in DPM system :**

In order to enhance privacy and security of Aadhaar Holders, Unique Identification Authority of India (UIDAI) had introduced **VID** which is **16 digit numeric field** which can be used by Aadhaar holder in lieu of his/her Aadhaar number to avoid need of sharing of the Aadhaar at the time of authentication. In this context, NSDL has introduced new field viz., VID as against Aadhaar number under the client maintenance module in DPM system as mentioned below:

Annexure

Sr. No	Name of field	Nature	Incorporated under Modules/Screens
1	Virtual ID (i.e. VID)	Numeric Field	a) Client Creation / Modification/Details View b) PoA Holder / Signatory – PoA Creation / Modification / Details View c) Signatory Member - Authorized Signatory Member Creation / Modification / Details View

NSDL has vide its Circular No. NSDL/POLICY/2018/0012 dated February 8, 2018 informed to Participants regarding incorporation of Aadhaar field under the client maintenance module in DPM system in respect of various client types and client sub types as defined under aforesaid NSDL circular dated February 8, 2018. Further, it was mentioned in the aforesaid NSDL circular dated February 8, 2018 that the Aadhaar field was also introduced under Power of Attorney (PoA) and Authorized Signatory Module in DPM system.

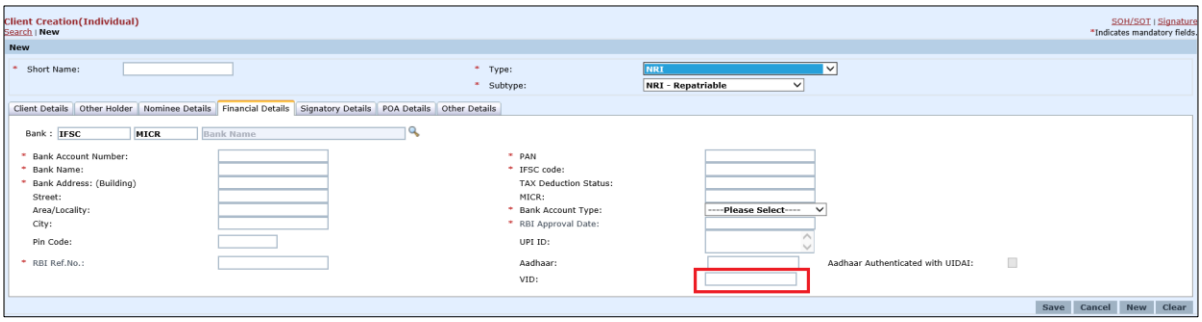
Accordingly, the aforesaid new field viz., VID has been incorporated in the DPM system under below mentioned client types and sub types:

Client Type Description	Client Sub type Description	Applicable
Resident	Ordinary	Holder Level
Resident	Stock Broker – Client	Holder Level
Resident	Promoter	Holder Level
Resident	Stock Broker – Proprietary	Holder Level
Resident	Stock Broker – Collateral	Holder Level
Resident	Ordinary – DR	Holder Level
NRI	NRI – Repatriable	Holder Level
NRI	NRI Non- Repatriable	Holder Level
NRI	DR	Holder Level
NRI	NRI- Repatriable -Promoter	Holder Level
NRI	NRI Non- Repatriable –Promoter	Holder Level
Foreign National	DR	Holder Level
Foreign National	FN	Holder Level
Foreign National	FN-Promoter	Holder Level
HUF		Applicable for Karta

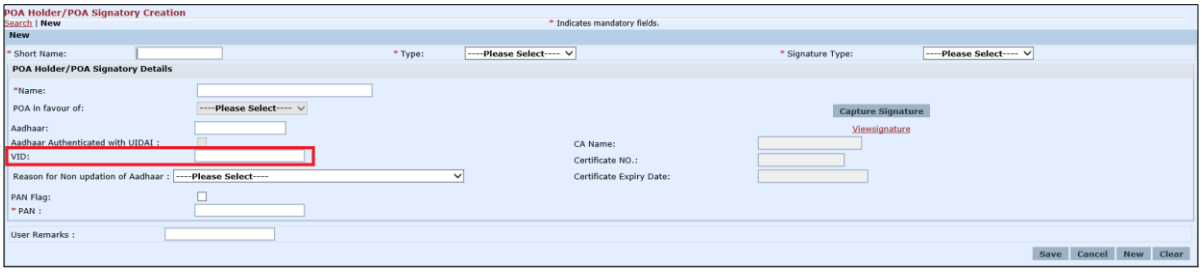
HUF	Promoter	Applicable for Karta

The aforesaid New Field viz., '**VID**' is optional field. The VID has been incorporated in the DPM system under the aforesaid Client Types/sub-types and POA/Authorized Signatory modules.

Client Creation/Modification/Details View:



PoA Holder / PoA Signatory:



Authorized Signatory Member:



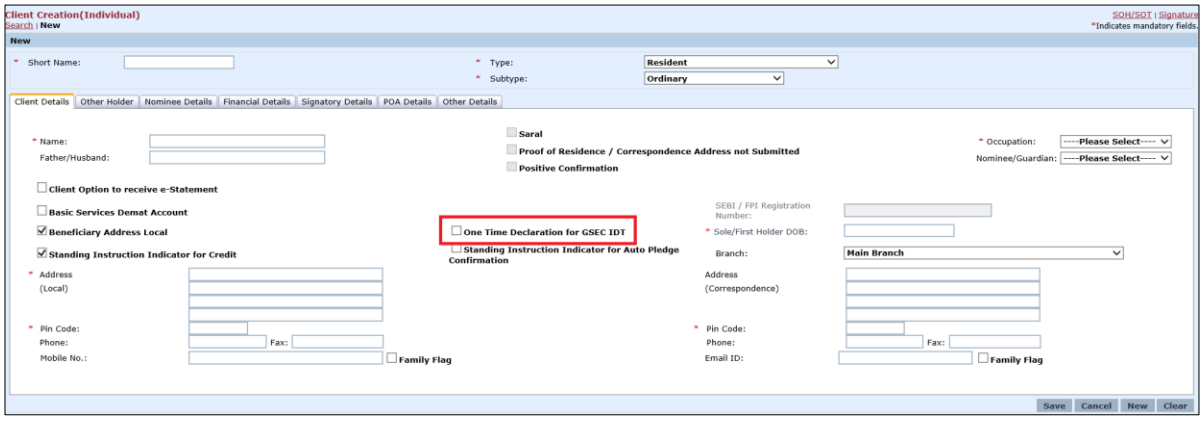
Back-office impact: Yes. Informed to Participants vide NSDL circular no. NSDL/POLICY/2019/0013 dated March 11, 2019.

3. Incorporation of new Flag viz., One Time Declaration flag under the client maintenance module in DPM system :

RBI has vide its Circular No. RBI/2018-19/78 IDMD.CDD.No.1241/11.02.001/2018-19 dated November 16, 2018 issued guidelines on Value Free Transfer (VFT) of Government Securities (G-Sec). In the aforesaid circular dated November 16, 2018, RBI has inter-alia permitted Value Free Transfer for inter-depository transfer of government securities which are bonafide in nature. To facilitate the same, the client will be required to provide a **one-time declaration** to its Participants about the bonafide nature of transactions.

In order to record the aforesaid One Time Declaration in DPM system by Participants, the client maintenance module has been enhanced with new flag viz., 'One Time Declaration for G-sec IDT' to allow Participants to capture the aforesaid declaration on the DPM system. The aforesaid new Flag viz., 'One Time Declaration for G-sec IDT' has been made applicable in respect of Beneficial Owner accounts i.e. all client type and sub types except client Type Clearing Member. Separate circular in this context shall be issued by NSDL for the operational process in respect of IDT of G-Sec through depository mechanism.

Participants are advised to note that the execution of IDT of G-Sec will not be allowed in eDPM system without enabling the flag viz., 'One Time Declaration for G-sec IDT' in the Local DPM system.



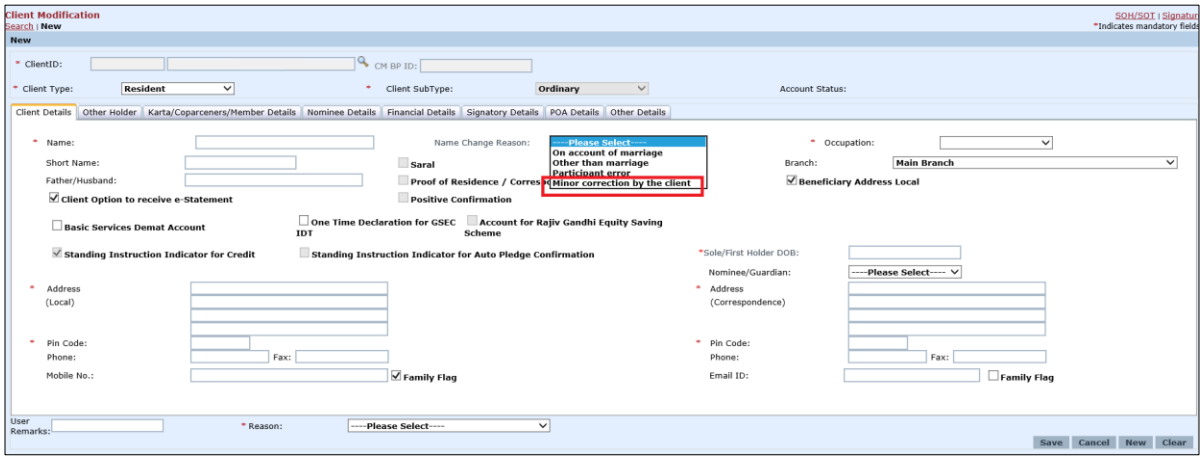
Back-office impact: Yes. Informed to Participants vide NSDL circular no. NSDL/POLICY/2019/0013 dated March 11, 2019.

4. Incorporation of New reason code for Client name modification viz., minor correction of name under the client maintenance module in DPM system:

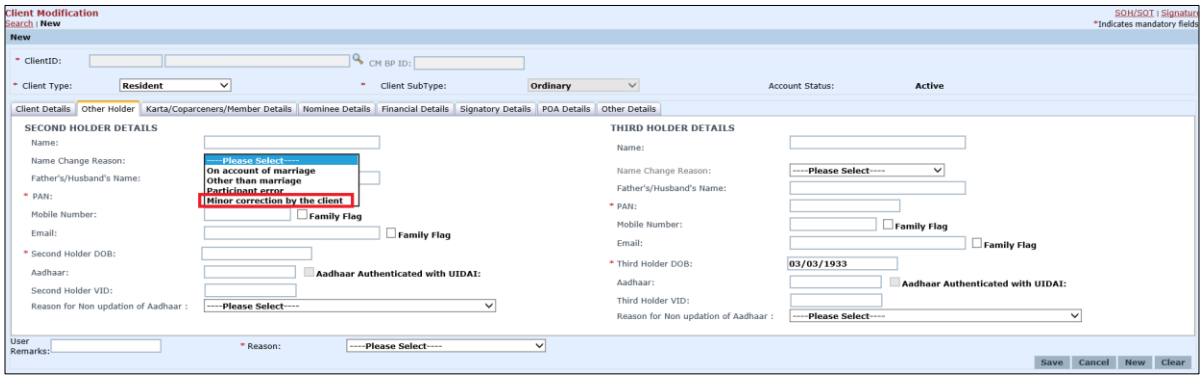
Participants were informed vide NSDL circular no. NSDL/POLICY/2018/0067 dated November 22, 2018 with respect to procedure for minor correction of name of an individual in a demat account.

Accordingly, a new name change reason viz., 'Minor correction by the client' in respect of minor name correction has been introduced in the DPM system under Client Modification module as exhibited below:

First / Sole Holder:



Other Holders

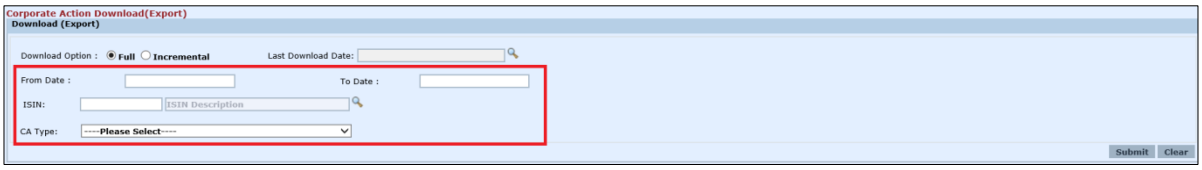


Back-office impact: Yes. Informed to Participants vide NSDL circular no. NSDL/POLICY/2019/0013 dated March 11, 2019.

5. Additional search fields in Corporate Action Exports/download

The DPM system has been enhanced to facilitate Participants to Download /Export Corporate Action details with the additional search Fields as mentioned below:

- From Date
- To Date
- ISIN
- CA Type



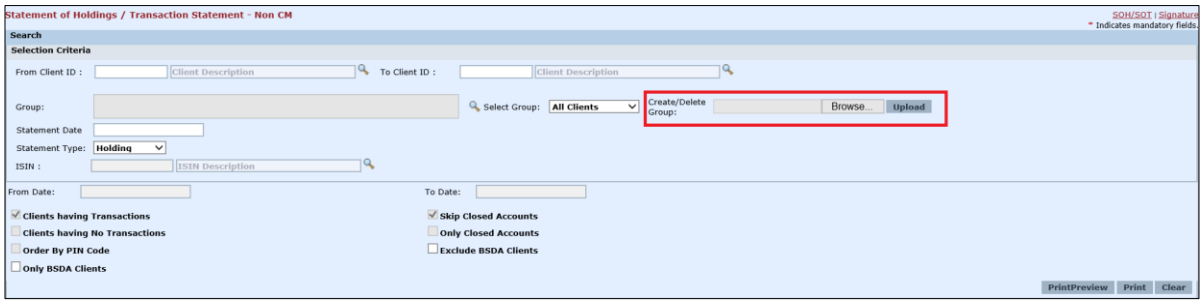
Back-office impact: No.

6. Enhancement in group creation for SOH/SOT report :

NSDL had vide its Circular No. NSDL/POLICY/2012/0026 dated February 29, 2012 facilitated Participants to generate Statement of Holdings (SOH) for a group in respect of specific demat accounts. The aforesaid facility is available in the Local DPM system under Reports→ Statement of Holding/Transaction Statement – Non-CM to generate Statement of Holdings (SOH) / Statement of Transaction (SOT) for specific group.

The procedure for creation of group remains same as communicated earlier by NSDL circular dated February 29, 2012. In the current DPM release, the option to delete the group or demat account number from any specific group for the aforesaid functionality has been incorporated. Accordingly, the new flag 'A – Addition' and 'D- Deletion' has been added in the detail record of existing file format structure. The revised file format structure is enclosed at **Annexure B**.

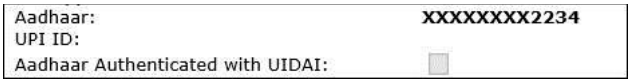
Participants shall upload the requisite client group file in the stipulated format using the browse and upload functionality as exhibited below.

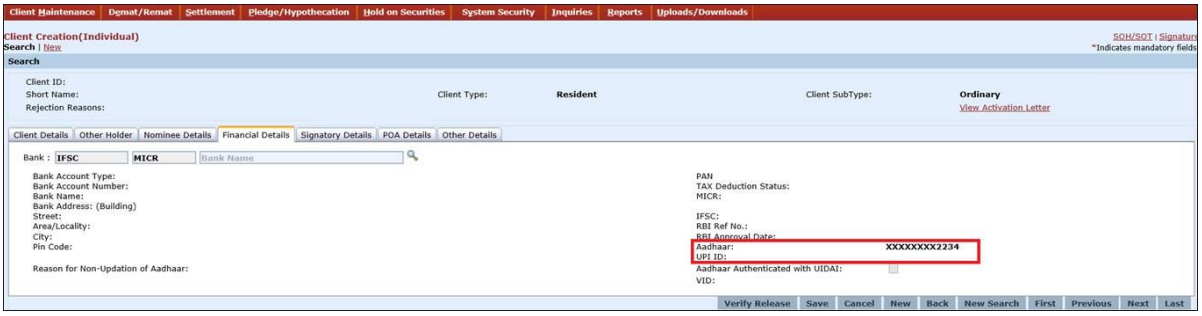


Back-office impact: No.

7. Masking of AADHAAR details in DPM system:

Aadhaar number as captured in the DPM system is masked except for last four digits. The Aadhaar number is currently masked under various screens of Client maintenance module and DPM reports such as Client Master List, etc.





In the current DPM release, the AADHAAR masking feature has been enhanced in respect of various downloads/exports generated from DPM system. The masking of Aadhaar under downloads/exports will be available only in respect of new demat accounts opened or any modification done in respect of existing demat accounts subsequent to version release. Hence, Participants may update their back office with Aadhaar masking in respect of existing demat accounts subsequent to version release

Back-office impact: As mentioned above.