Circular No.: NSDL/POLICY/2018/0050 Date: September 25, 2018

Subject: Compliance Schedule for reports/ certificates by Participants.

As all Participants are aware, Participants are required to submit information related to risk assessment of the Participant which is required to be provided by Participants in Risk Assessment template (RAT) on half-yearly basis to depositories for onward submission to SEBI.

Participants are advised to take note to submit the RAT as per below timelines:-

Period	**Due date for submission to NSDL**
April 1 to September 30	October 31
October 1 to March 31	April 30

In view of the above and other changes in the periodic submissions, we request you to take note of the revised compliance schedule enclosed as Annexure 'A.'

Participants are requested to refer to Bye Laws and Business Rules of NSDL and circulars issued thereunder for detailed guidance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Networth Certificate and Audited Financial Statements.	September 30th every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.

Digitally signed by
Name : Chirag Shah
Date : 25-09-2018 17:27:15
Reason : Authentication