Circular No.: NSDL/POLICY/2018/0022 Date: April 17, 2018

Subject: SMS Alert facility enhanced – SMS Alerts to Clients for Blocking/Debit of shares in demat account in respect of Tender Offer instruction.

As Participants are aware, SMS Alert facility provides important updates to Clients on their mobile phones about some of the activities in their depository accounts, which facilitates risk mitigation and provides value added services. Clients and Participants have widely appreciated this facility.

Further, attention of Participants is invited to Circular No NSDL/POLICY/2017/0007 regarding Acquisition of Shares pursuant to Tender-Offers through Stock Exchange Mechanism.

In this regard, Participants are hereby informed that with effect from April 14, 2018, SMS Alert facility is further enhanced whereby client will receive SMS alerts in respect of following events pertaining to instruction submitted for the purpose of acquisition of shares by the company pursuant to Tender-Offers through Stock Exchange Mechanism:

a) Blocking of shares in the demat account of the client
b) Debit of shares from the demat account of the client

Sample text message of SMS Alerts is given below:

a) **SMS – Blocking of shares in demat account of the client in respect of Tender Offer instruction.**

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Blocked in your a/c xxxx0905 for Buyback Offer..NSDL

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Blocked in your a/c xxxx0905 for Delisting Offer..NSDL

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Blocked in your a/c xxxx0905 for Takeover Offer..NSDL

b) **SMS- Debit of shares from demat account of the client in respect of Tender Offer instruction.**

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Debited from your a/c xxxx0905 for Buyback Offer..NSDL

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Debited from your a/c xxxx0905 for Delisting Offer..NSDL

On_____ (date, dd/mm/yy), ____ (Qty)_____ (ISIN Name) Debited from your a/c xxxx0905 for takeover Offer..NSDL

A list of features of SMS Alert facility is enclosed for information of Participants.

For and on behalf of
National Securities Depository Limited

Ravindra Sahu
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Risk based supervision of Participants	April 20, 2018	Through e-PASS	Circular No. NSDL/POLICY/2018/0019 dated March 30, 2018
Charge Structure	April 30th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Internal/ Concurrent Audit Report (October - March)	May 15th every year	Through e-PASS	Circular No. NSDL/POLICY/2018/0016 dated March 16, 2018

Digitally signed by
Name : Ravindra Kumar Sahu
Date : 4/17/2018 2:49:28 PM
Reason : Authentication