NSDL
Technology, Trust & Reach

Participant Interface Circular

Circular No.: NSDL/POLICY/2017/0017 Date: March 29, 2017

Subject: Amendment to Business Rules - Enhanced Supervision of Stock Brokers.

All Participants are hereby notified that pursuant to SEBI Circular No. SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016 regarding enhanced supervision of Stock Brokers / Depository Participants, Business Rule 18.1.1 has been amended. The amended Rule 18.1.1 is enclosed as Annexure A. The amended Rule will be effective from July 1, 2017.

For and on behalf of
National Securities Depository Limited

Ravindra Sahu
Senior Manager

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Charge Structure	April 30th every year.	By email at dpfeesnsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.

Digitally signed by
Name : Ravindra Sahu
Date : 3/29/2017 8:52:01 PM
Reason : Authentication