Circular No.: NSDL/POLICY/2016/0083 Date: October 4, 2016

Subject: SEBI circular on enhanced supervision of Depository Participants.

Attention of Participants is invited to SEBI Circular No. SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016 *(copy enclosed)* regarding enhanced Supervision of Depository Participants.

Participants are requested to take note of the same and ensure compliance. The operational procedure, systems enhancements and the amendment to Business Rules related to the above will be notified separately.

For and on behalf of
National Securities Depository Limited

Prashant Prachand
Assistant Vice President

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015.
Risk based supervision of Participants	October 20, 2016	Through e-PASS	Circular No. NSDL/POLICY/2016/0079 dated September 30, 2016
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in. Alternatively, by hard copy to Inspection Department.	Circular No.: NSDL/POLICY/2015/0087 dated October 1, 2015 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010

Digitally signed by
Name : Prashant Prachand
Date : 10/4/2016 5:48:36 PM
Reason : Authentication