Circular No.: NSDL/TRAINING/2016/0007 Date: April 18, 2016

Subject: One day Continuing Professional Education (CPE) programs for Depository Operations scheduled in April, May & June 2016.

NSDL vide its Circular No: NSDL/TRAINING/2016/0004 dated February 17, 2016 had scheduled various one day CPE programs for Depository Operations across India for associated persons in securities markets. In this regard, more CPE programs for Depository Operations are being scheduled in May & June 2016. In view of the aforesaid, please find below the consolidated list of CPE programs for Depository Operations scheduled by NSDL at various locations in India.

Month	Location	Date of Program	Day	Last date for enrollment
April 2016	New Delhi	April 29, 2016	Friday	April 26, 2016
	Ahmedabad	April 30, 2016	Saturday	April 27, 2016
	Mumbai	April 30, 2016	Saturday	April 27, 2016
May 2016	Chennai	May 23, 2016	Monday	May 20, 2016
	New Delhi	May 27, 2016	Friday	May 24, 2016
	Ahmedabad	May 28, 2016	Saturday	May 25, 2016
	Mumbai	May 28, 2016	Saturday	May 25, 2016
	Kolkata	May 28, 2016	Saturday	May 25, 2016
June 2016	New Delhi	June 24, 2016	Friday	June 21, 2016
	Ahmedabad	June 25, 2016	Saturday	June 22, 2016
	Mumbai	June 25, 2016	Saturday	June 22, 2016
	Kochi	June 25, 2016	Saturday	June 22, 2016
	Kolkata	June 25, 2016	Saturday	June 22, 2016

The details of CPE training program are enclosed at Annexure. For **registration and enrollment of eligible officials**, Participants are requested to follow the steps mentioned at **Annexure II**, Further, if Participants require NSDL to conduct CPE training programs at any other location, then an email may be sent at cpe@nsdl.co.in.

For and on behalf of

National Securities Depository Limited

Prashant Prachand
Assistant Vice President

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015.
Charge Structure	April 30th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Internal/ Concurrent Audit Report (October - March)	May 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in. Alternatively, by hard copy to Inspection Department.	1. Circular No.: NSDL/POLICY/2015/0087 dated October 1, 2015.
Risk based supervision of Participants	April 20, 2016	Through e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2016/0037 dated April 1, 2016.

Digitally signed by
Name : Prashant Prachand
Date : 4/18/2016 4:58:13 PM
Reason : Authentication