Annexure

Features released in DPM Version 5.8

(A) Facility on NSDL CAS microsite to update Email ID and the request to receive statement of account in electronic mode.

Attention of all Participants is invited to NSDL Circular No. NSDL/POLICY/2015/0090 dated October 9, 2015 regarding Statement of Account through Electronic Mode and registration of email ID, wherein Participants were informed about the new facility provided to Client on NSDL CAS microsite (https//:nsdlcas.nsdl.com) to submit their request(s) to receive statement of account in electronic mode (i.e., e-CAS) and also able to provide its email ID for the same.

In this regard, as mentioned in our aforesaid circular NSDL CAS microsite has been further enhanced so that the updated email ID and the request to receive statement of account in electronic mode which is recorded on NSDL CAS microsite would be updated directly in the demat account of the Client in DPM system. These details will get updated directly in the DPM system on a daily basis at the EOD from December 14, 2015. The requests received before December 12, 2015 will be processed at EOD of December 12, 2015 in the DPM system.

The following process would be followed with respect to updation of client consent for e-CAS and Email ID through NSDL CAS microsite:

(1) Client will make a request on NSDL CAS Microsite for updation of client consent for e-CAS and Email ID on the basis of PAN with OTP authentication.

(2) Based on the aforesaid request submitted by the client on NSDL CAS Microsite the relevant updations will be made in all the demat accounts on the basis of matching PAN in the DPM system. The behavior of updation will differ depending on whether the given PAN as input criteria on NSDL CAS microsite is updated at the first holder or joint holder level in the demat account(s) of DPM system.

(3) Such requests will be processed in the DPM system on the basis of matching of PAN as mentioned against PAN of the accountholder in the demat account(s) for the updation of aforesaid fields as per below logic:

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PAN and Account Holder(s) Criteria	Updation Logic in Demat Account
Input PAN matches with 1st / Sole Holder PAN in demat account	(i) The updation of client consent for e-CAS will be executed in the DPM system against 1st / Sole Holder of demat account as per request received on NSDL CAS microsite. (ii) The updation of Email ID will be executed in the DPM system against 1st / Sole Holder of demat account, if it is different from existing registered Email ID.
Input PAN matches with 2nd / 3rd Holder PAN in demat account	(i) The updation of Email ID will be executed in the DPM system against 2nd / 3rd Holder of demat account, if it is different from existing registered Email ID.

(4) hereafter, an email intimation will be sent to the client for the following updations :

a) For e-CAS Flag Updation, intimation will be sent to the account holder on the Email ID updated/registered in demat account.

b) For Email ID updation, intimation will be sent to the account holder on the old as well as new Email ID updated/registered in demat account.

(5) The modification letters in respect of Updation of e-CAS Flag and Email ID will be generated on a daily basis at EOD and the same will be made available for the Participants at the path i.e. **C:\Modification_Letter** of Local DPM sever.

(6) A new modification reason viz., **"Clients request to NSDL"** with static code viz., **"05"** has been introduced in the Local DPM system for aforesaid updation received from NSDL CAS Microsite. In this regard, Participants are requested to carry out necessary changes in their back-office system.

(7) Participants can download the Client Master Export containing the details of aforesaid updations from the Local DPM system for updating their back-office system.

(B) Renaming of field viz., 'Name of Sole Holder (i.e. Karta) to Name of Karta (i.e. Sole Holder) in respect of HUF and HUF-Promoter clients in DPM system.

A field viz., 'Name of Sole Holder (i.e. Karta)' is currently appearing in DPM system in respect of HUF and HUF-Promoter clients has been renamed to 'Name of Karta (i.e. Sole Holder)' as exhibited below. The aforesaid changes have been made in the relevant screen viz., Client Creation, Client Modification, Client Details View and Audit Trail and in reports viz., Client Master List, Modification Letter and Audit Report in the DPM system as exhibited below:

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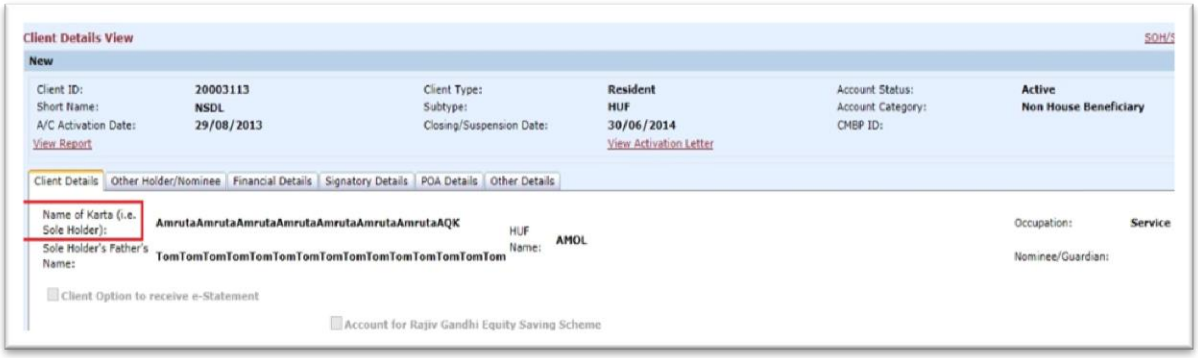
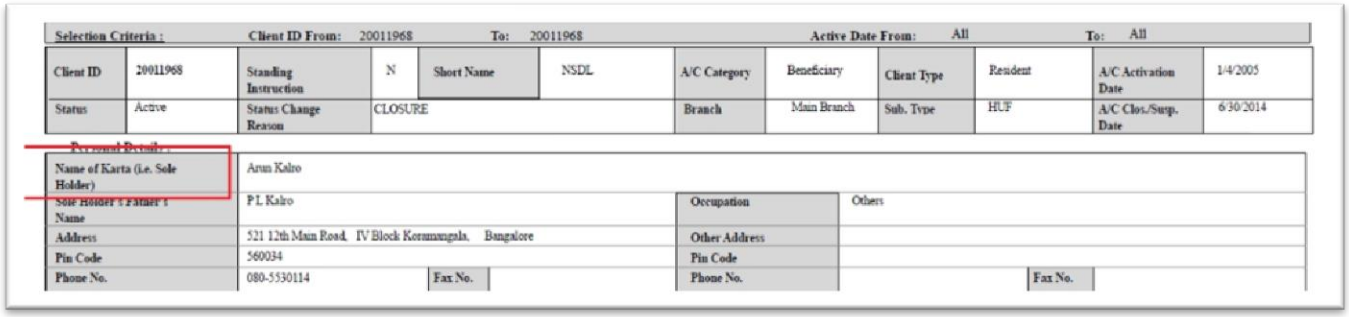
Client Details View Screen:



Client Master List Report:



Selection Criteria : Client ID From: 20011968 To: 20011968 Active Date From: All To: All

Client ID	20011968	Standing Instruction	N	Short Name	NSDL	A/C Category	Beneficiary	Client Type	Resident	A/C Activation Date	1/4/2005
Status	Active	Status Change Reason	CLOSURE			Branch	Main Branch	Sub. Type	HUF	A/C Clos./Susp. Date	6/30/2014

Personal Details :

Name of Karta (i.e. Sole Holder)	Arun Kalro		
Sole Holder's Father's Name	P L Kalro	Occupation	Others
Address	521 12th Main Road, IV Block Koramangala, Bangalore	Other Address	
Pin Code	560034	Pin Code	
Phone No.	080-5530114 Fax No.	Phone No.	Fax No.

Client Audit Report:

Client id 20064339 Name of Karta (i.e. Sole Holder) sdfsdf huf prom karta Account Status Active

Sequence No.	Field Name	Old Value	New Value	Updated By	Date Time
734594	Status	Registered	Verified, Released	swapnilc	10/29/2013 2:58:03PM
734602	Activation Date		2013-10-29	dbo	10/29/2013 3:35:38PM
734603	Status	Exported	Active	dbo	10/29/2013 3:35:38PM
808926	Short name	asdfwerdfsd	NSDL	swapnilm	5/23/2014 5:02:47PM
907110	Name of Karta (i.e. Sole Holder)	sdfsdf	sdfsdf huf prom karta	sonamc	11/26/2015 5:37:00PM
907111	Name Change Reason for First Holder		Participant error	sonamc	11/26/2015 5:37:00PM