Circular No.: NSDL/POLICY/2014/0108 Date: October 13, 2014

Subject: Combined Delivery Instruction Slip (DIS) Issuance and Processing.

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2014/0105 dated September 30, 2014 regarding Combined Delivery Instructions by Client and Amendment to Business Rules.

In this context, Participants are requested to take note of the following:

1. The DPM system validates mapping between Client ID and DIS serial number and other details viz. transaction type, format of DIS etc. at the time of execution of DIS. In respect of combined DIS, it may be mentioned that Participants may upload DIS details in DIS Issuance Master under any of the 'Transaction Type' viz., 'Account Transfer' or 'Inter Depository Transfer'. Further, in order to facilitate Participants, a feature has been incorporated in the DPM system to allow execution of both transaction types i.e. 'Account Transfer' or 'Inter Depository Transfer' even though the DIS is uploaded in the DIS Issuance Master under any of the transaction type.

2. A DIS serial number can be used for same transaction type either for 'Account Transfer' or 'Inter Depository Transfer'. The transaction type and execution date for a DIS serial number will be determined by the DPM system on the basis of first instruction entered in DPM system. For example: if first instruction against a DIS serial number is a 'Account Transfer', then the other instructions being entered under the same DIS serial number must be for transaction type 'Account Transfer' only.

3. The same DIS shall not be used for giving both '**Account Transfer' and 'Inter-Depository Transfer'** instructions and shall not be used for transactions with **multiple** execution dates.

Participants are requested to take note of the same and ensure the compliance.

For and on behalf of
National Securities Depository Limited

Nitin Ambure
Vice President

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Networth Certificate and Audited Financial Statements	October 31st for for the financial year 2013-14.	By hard copy to Participant Interface Department.	1. Circular No. NSDL/PI/98/414 dated September 1, 1998. 2. Circular No. NSDL/POLICY/2014/0106 dated September 30, 2014.
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	1. Circular No.: NSDL/POLICY/2014/0101 dated September 19, 2014. 2. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.

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