Circular No.: NSDL/POLICY/2014/0105 Date: September 30, 2014

Subject: Combined Delivery Instructions by Client and Amendment to Business Rules

All Participants are hereby notified that following new forms (*copies enclosed as Annexure A*) have been inserted in Business Rules for combined delivery instructions by Client:

1. FORM 36 - COMBINED DELIVERY INSTRUCTIONS BY CLIENT
2. FORM 37 - COMBINED DELIVERY INSTRUCTIONS BY POA HOLDER ON BEHALF OF CLIENT

In this context, Participants are requested to take note of the following:

1. Ensure the compliance with respect to point no. A of NSDL Circular No. NSDL/POLICY/2014/0092 dated August 25, 2014 related to Standardisation of DIS.

2. FORM 37 must be issued only to Power of Attorney (POA) holders provided the POA holder is registered with SEBI as stock broker or custodian or portfolio manager. FORM 37 issued to POA holder must contain pre-printed or pre-stamped POA ID which is generated from the DPM and is mapped to the demat account of the Client in DPM. Accordingly, Participants must create POA ID for all such POA holders in DPM and map the same to the respective demat account(s), if not done so already.

3. Illustrative formats of annexure for computer print-outs (copy enclosed as Annexure B) are designed for the FORM 36 and FORM 37 which are accepted as per the stipulated procedure (Ref. point no. 16 of Master Circular on Delivery Instruction Slips, Version 1.3, dated July 26, 2013). Participants may continue to accept Annexures in any other format or computer print-outs provided it meets with the stipulated procedure and the size of Annexure is not more than A4 size.

4. On the inside front cover of the DIS booklet, the information as per the format specified at Annexure C must be printed and the inside back cover of the DIS booklet should be printed with information on Grievances Redressal Mechanism as specified by SEBI (*Ref.: Circular No. NSDL/POLICY/2012/0100 dated September 25, 2012*)

5. To facilitate printing of the DIS, the '.cdr' printable files may be used. The same are kept at i-Assist intranet site of NSDL at the link **'*DIS (new formats) - Printable Files (.cdr)*'** provided in **'*Download*'** menu [Download -> DIS (new formats) - Printable Files (.cdr)].

Participants are required to take note of above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

Enclosed: Three

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Networth Certificate and Audited Financial Statements	September 30th every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.

Digitally signed by
Name : Samar Banwat
Date : 9/30/2014 9:43:21 PM
Reason : Authentication