Circular No.: NSDL/POLICY/2014/0029 Date: March 14, 2014

Subject: Anti-Money Laundering/ Countering the Financing of Terrorism (AML/CFT) Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under.

Attention of Participants is invited to SEBI Master Circular CIR/ISD/AML/3/2010 dated December 31, 2010 on the captioned subject. (*Refer NSDL Circular No. NSDL/POLICY/2011/0005 dated January 10, 2011*).

All Participants are hereby informed that SEBI has vide its Circular No. CIR/MIRSD/1/2014 dated March 12, 2014 notified the modifications and additions to the above referred SEBI Master Circular dated December 31, 2010 pursuant to amendments to the Prevention of Money-laundering Act, 2002 (PML Act) and amendments to the Prevention of Money-laundering (Maintenance of Records) Rules, 2005 (PML Rules). Copy of the same is enclosed.

Participants are requested to take a note of the same and ensure compliance.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	**Deadline**	**Manner of sending**	**Reference**
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp_igreport@nsdl.co.in. Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Charge Structure	April 30th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.

Signature Not Verified
Digitally signed by BANWAT SAMAR
Date: 2014.03.14 17:49:46 +05:30
Reason: Authentication
Location: NSDL, Mumbai