

Circular No.: NSDL/POLICY/2013/0103

Date: August 20, 2013

Sub: NISM Communiqué/Press Release on Updation of NISM – Series – VI: Depository Operations Certification Examination (DOCE)

Attention of Participants is invited to National Institute of Securities Markets (NISM) Communiqué/Press Release no. NISM/Certification/Series-VI: DOCE/2013/01 dated August 6, 2013 (*copy enclosed*) regarding Updation of NISM - Series – VI: Depository Operations Certification Examination (DOCE) with effect from September 24, 2013.

Participants are requested to take note of the same.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

Encl: a/a

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp_igreport@nsdl.co.in . Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Networth Certificate and Audited Financial Statements.	September 30th every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.