National Securities Depository Ltd.

Participant Interface

Circular

Circular No.: NSDL/POLICY/2013/0002 Date: January 3, 2013

Sub: **Transmission of securities to nominee**

Participants are aware that as per NSDL Business Rule 12.6.3, individual Beneficial Owner [BO] account holder(s) can avail the nomination facility by providing the nominee details in the format specified in Annexure J/JA. Further, as per Circular No. NSDL/POLICY/2009/0002 dated January 3, 2009, Participants are required to ensure that all individual BO account holder(s) either avail the nomination facility as per the specified procedure or provide a declaration that the BO account holder(s) do not wish to make a nomination with regard to the securities held in the BO account.

Participants have informed that efforts are being made to ensure that all individual BO account holder(s) conform to the specified requirement to avail the nomination facility. However, there may be cases where the BO account holder(s) may have provided the nominee details in the format prior to the introduction of Annexure JA at the time of opening of the BO account or subsequently but due to circumstances beyond the control of BO(s), the information in the specified new format may not have reached the Participant before the demise of the BO account holder(s). All Participants are hereby advised that in such cases Participants may on receipt of the indemnity in the enclosed format along with the other documents specified in NSDL Business Rule 12.6.3; process the transmission requests after satisfying themselves about the identity of the nominee.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President
Encl: a/a

FORTHCOMING COMPLIANCE				
Sr. No.	**Particulars**	**Deadline**	**Manner of sending**	**Reference**
1	Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp_igreport@nsdl.co.in. Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
2	Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.

Signature Not Verified
Digitally signed by BANWAT SAMAR
Date: 2013.01.03 16:46:04 +05:30
Reason: Authentication
Location: NSDL, Mumbai