

# National Securities Depository Ltd.



## Participant Interface Circular

Circular No.: NSDL/POLICY/2012/0069

Date: July 12, 2012

**Sub: NISM Communiqué/Press Release on NISM - Series – VI: Depository Operations Certification Examination (DOCE)**

Attention of Participants is invited to National Institute of Securities Markets (NISM) Communiqué/Press Release no. *NISM/Certification/DOCECPE/2012/1* dated July 9, 2012 (*copy enclosed*) regarding Continuing Professional Education (CPE) programme for Depository Operations. In this context, Participants are requested to take note that NSDL is approved as an NISM Accredited CPE Provider for CPE Programmes.

In order to enable NSDL to schedule CPE programmes on Depository Operations at different locations, Participants are requested to email their requirements for CPE programme on Depository Operations in the format as below on email ID [cpe@nsdl.co.in](mailto:cpe@nsdl.co.in) latest by July 31, 2012.

Sr. no.	Name of the Participant	DP ID	Preferred Location (City Name) where CPE programme on Depository Operations is required (#)	Number of persons who will attend

# Provide data for multiple locations separately.

In case of any further information, Participants may contact on 022-24994237/4487/4385/4354 or email us on [cpe@nsdl.co.in](mailto:cpe@nsdl.co.in)

For and on behalf of  
**National Securities Depository Limited**

**Prashant Vagal**  
**Vice President**

Encl: a/a



FORTHCOMING COMPLIANCE				
Sr. No.	Particulars	Deadline	Manner of sending	Reference
1	Investor Grievance Report (Monthly).	By 10 <sup>th</sup> of the following month.	By email at <a href="mailto:dp_igreport@nsdl.co.in">dp_igreport@nsdl.co.in</a> . Alternatively, by hard copy to the Investor Relationship Cell.	Circular No. NSDL/PI/98/500 dated October 6, 1998 and Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
2.	Compliance Certificate (January - June )	July 31st every year.	Digitally signed compliance certificate by email at <a href="mailto:nsdl-bp-inspection@nsdl.co.in">nsdl-bp-inspection@nsdl.co.in</a> . Alternatively, by hard copy to Business Partner Inspection Department.	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.