National Securities Depository Ltd.

Participant Interface
Circular

Circular No.: NSDL/POLICY/2011/0025 Date: March 17, 2011

Sub: Backup guidelines for Participants

As all Participants are aware, upon implementation of New Depository System (NDS), Participant can execute instructions directly through eDPM. Therefore, dependency on the local DPM database especially for time critical instructions is reduced. Local DPM system is used for other types of transactions like account opening, client master changes, report generation etc.

Although Participants are required to take backup regularly, criticality of maintaining backup of database has reduced. Accordingly, the guidelines for taking backups are revised and are enclosed at Annexure. Some of the benefits of the new backup guidelines are given below:

(1) Inventory of backup media:

a) Retention period reduced:

Retention period of backup of database taken on Monday, Tuesday, Wednesday, Thursday and Saturday is reduced from 15 days to 7 days. Retention period for backup taken on friday continues to be 15 days.

b) Database and transaction log on a single backup media:

Backup of database and transaction logs can now be preserved on single media. However, backups of database taken on two simultaneous days have to be preserved on separate media (i.e. backup has to be taken in odd-even format).

(2) Lesser time required to take backups:

a) Incremental backups:

Participants can now take database backup on incremental basis irrespective of the volume of database for all working days except for Wednesday and Friday. Full backup for Wednesday and Friday is a must.

b) No remote backup – in certain cases:

If the transaction log files generated are automatically copied to the Participant's remote site, then remote site backup is not required to be taken and preserved

separately. Otherwise for all other Participants backup media are to be preserved in two sets, one for Local and other for Remote Site.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

Encl.: a/a

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