

Participant Letter
To be printed/typed on Participant's letterhead
(For transmission of locked-in securities/ securities held under suspended ISIN)

Ref. No. : _____

Date : _____

Name and address of the Issuer

We wish to inform you that we have received a request for transmission of locked-in securities / securities held under suspended ISIN from the legal heir(s) / legal representative(s) of our deceased client. We have verified the documents submitted by the legal heir(s) / legal representative(s) of the deceased and confirm that the documents are in order and the signature(s) of the transferee(s) have been verified by us. Since the securities are locked-in / the ISIN is suspended, we are unable to execute the instruction. Hence, we request you facilitate us to transmit the securities as instructed by the legal heir(s) / legal representative(s) of our deceased client. Details of instructions received by us are as follows:

To facilitate transmission of securities where:	☐ Securities are in lock-in
	☐ ISIN of Securities is in suspended status
(tick any one)	

ISIN	
Security Description	
Lock-in Reason/ Reason for ISIN suspension	
Lock-in Release Date <i>(if applicable)</i>	

Details of old account *(to be closed)*

DP ID	
Client ID	
Client Name	
Quantity	

Details of new account *[of legal heir(s) / legal representative(s)]*

DP ID	
Client ID	
Client Name	
Quantity	

Signature :

Name :

Designation :

CC: National Securities Depository Limited
4th Floor, A Wing, Trade World
Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel
Mumbai – 400013.

To be printed on the letterhead of the Issuer
(For transmission of lock-in/ suspended securities)

CORPORATE ACTION INFORMATION FORM
 (For transmission of lock-in securities/ securities held under suspended ISIN)

Ref. No. : _____

Date : _____

National Securities Depository Limited
 4th Floor, A Wing, Trade World
 Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel
 Mumbai – 400013.

We wish to execute a corporate action to facilitate transmission of securities in the depository system, which has been issued under lock-in by us / the ISIN for securities of our company is suspended to prevent transfer. The details of the corporate action for transmission of locked-in securities / securities held under suspended ISIN as provided by the Participant are as follows:

To facilitate transmission of securities where:	☐ the securities are locked-in ☐ ISIN of Securities is in suspended status (tick any one)
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ISIN	
Security Description	
Lock-in Reason/ Reason for ISIN suspension	
Lock-in Release Date (if applicable)	

Details of old account (Debit)

DP ID	
Client ID	
Client Name	
Quantity	

Details of new account (Credit) [of legal heir(s) / legal representative(s)]

DP ID	
Client ID	
Client Name	
Quantity	

Signature :

Name :

Designation :

CC: R&T Agent