

Circular No.: NSDL/POLICY/2026/0117

August 19, 2026

Subject: Enhancement in NSDL Depository System w.e.f. August 28, 2026.

Attention of Participants is invited to Circular no.: NSDL/POLICY/2026/0098 dated July 09, 2026, regarding 'Standardization of file formats' wherein at Point No. 2, it was inter alia mentioned that the file formats pertaining to "Transaction Upload and COD Related Changes" have been published in the UDiFF Catalogue Version 3.0.1.2, containing the details of the file formats and the corresponding ISO tags.

In this context, Participants are hereby informed that, with a view to strengthening regulatory compliance and ensuring standardized capture of details pertaining to orders issued by regulatory/statutory authorities, NSDL has introduced a system enhancement in the depository system for processing Freeze/Unfreeze instructions initiated by Participants through the NSDL eDPM System.

Pursuant to this enhancement, Participants shall be required to capture additional information relating to the regulatory/statutory order (whenever applicable) while initiating freeze and unfreeze actions in the NSDL Depository system. This additional information relating to the regulatory/statutory order shall also be disseminated to the Participants through Change Order of the Day (COD), thus enabling the Participants to update the transaction status in their back-office system (if any).

The changes of the upcoming version release are outlined below:

A. Capture of "Regulatory Authority Details", "Order Type" and "Order Date" while Freezing Demat Accounts.

It is mandatory to capture the details of the issuing authority while processing freeze instructions. Kindly refer Annexure – A for Freeze reason code in which following details should be captured.

1. Regulatory Authority Details - Required

The following information pertaining to the Regulatory Authority issuing the order is required to be captured:

- Order Issuing Official Name

**National Securities Depository Limited**

3rd Floor, Naman Chambers, Plot C-32, G-Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.
Tel: 91-22-6944 8400 / 69448500 | email: info@nsdl.com | Web: www.nsdl.co.in
Corporate Identity Number: L74120MH2012PLC230380

- Order Issuing Official Address
- Order Issuing Official Phone Number
- Order Issuing Official Email Address

In case, any of the above-mentioned details are missing in the order copy then DP shall mention as "Not available" in the field.

2. Order Type – Conditional Required

This field shall be enabled in NSDL eDPM system only when the DP user select following freeze reason: "Statutory Order - SEBI Order/SEBI Directive (SSO)"

3. Order Date – Required

This field pertains to the date on which the concern authority has issued the Order and the DP shall refer the order copy for the date.

The following ISO tags have been introduced in the Transaction Upload and COD formats under UDiFF Version 3.0.1.2:

Format Type	Field Description	Required / Optional	ISO Tags	Field Type & Size
Transaction Upload	Regulatory Authority Details	Conditional Required/Optional	RegAuthDtIs	VARCHAR(500)
Transaction Upload	Order Type	Conditional Required/Optional	RsnDlayPrsTxn	VARCHAR(100)
Transaction Upload	Order Date	Conditional Required/Optional	RsnDlayClsReq	VARCHAR(100)
COD	Regulatory Authority Details	Conditional Required/Optional	RegAuthDtIs	VARCHAR(500)
COD	Order Type	Conditional Required/Optional	RsnDlayPrsTxn	VARCHAR(100)
COD	Order Date	Conditional Required/Optional	RsnDlayClsReq	VARCHAR(100)

Participants are advised to refer to UDiFF Catalogue V3.0.1.2 covering the aforementioned changes in back-office file formats.



The enhancements shall be deployed on the production environment from end of the day (EOD) of **August 28,2026**.

Participants are advised to take note of the above changes and make the necessary system and operational arrangements to ensure smooth implementation.

**For and on behalf of
National Securities Depository Limited**

**Rakesh Mehta
Vice President**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	Para 22 of 'Grievance Redressal' chapter and Para 27 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants
Compliance report w.r.t Same Mobile number and/ or email address captured for multiple accounts. (monthly)	Before 27 th of following month	Through Email.	Para 26 of 'Miscellaneous' chapter of NSDL Master Circular for Participants.



Annexure – A

Reason code	UDiFF Standard Code	Reason Description
3	RSA	Request By Statutory Authority
15	SSO	Statutory Order -SEBI order
16	SJC	Statutory Order -Judicial authorities - Court
17	SJS	Statutory Order -Judicial authorities - SAT
18	SJO	Statutory Order -Judicial authorities -Official Liquidator
19	SCL	Statutory Order -Judicial authorities -Company Law Board
20	SLP	Statutory Order -Law Enforcement Agencies -Police
21	CBI	Statutory Order -Law Enforcement Agencies -Central Bureau of Investigations (CBI)
22	CID	Statutory Order -Law Enforcement Agencies -Criminal Investigation Department (CID)
23	SFI	Statutory Order -Law Enforcement Agencies -Serious Fraud Investigation Office (SFIO)
24	EOW	Statutory Order -Law Enforcement Agencies -Economic Offence Wing (EOW)
25	SED	Statutory Order -Law Enforcement Agencies -Directorate of Enforcement (ED)
26	ACB	Statutory Order -Law Enforcement Agencies -Anti-Corruption Bureau (ACB)
27	RRC	Statutory Order -Recovery Proceedings -Recovery Certificate under Cooperative Societies Act
28	DRT	Statutory Order -Recovery Proceedings -Debt Recovery Tribunal (DRT)
29	RIT	Statutory Order -Revenue Authorities -Income Tax
30	RST	Statutory Order -Revenue Authorities -Service Tax
31	RAS	Statutory Order -Revenue Authorities -Sales Tax
32	RAC	Statutory Order -Revenue Authorities -Customs
33	RAE	Statutory Order -Revenue Authorities -Central Excise
36	ALF	Non-payment of ALF
40	SCN	Statutory Order -SEBI SCN / Order Not Delivered/Acknowledged

Note: - If any order received from authorities other than the aforementioned list, then kindly select freeze code as “3 i.e. Request by Statutory Authority”.


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