

Policy for Sharing Data for the Purpose of Research / Analysis

Data List for Depositories

As per SEBI Circular SEBI/HO/DEPA-II/DEPA-II_SRG/P/CIR/2024/178 dated December 20, 2024 on Policy for Sharing Data for the purpose of research/ analysis, the data pertaining to Depositories is divided into two baskets- first basket contains shareable data and second basket contains non-shareable data.

Accordingly, the researchers may refer to the following data list, which is uniform across Depositories and is also segregated market segment wise as the nature of data available varies across segments.

First Basket (Public and Shareable Data)¹

1. Basic Details of Depository Participants (DPs)

- i. Number and list of Depository Participants
- ii. Number of Branches with live connectivity,
- iii. Number of Cities/ town with live connectivity
- iv. Number of Locations with live connectivity
- v. Know your DP (a dedicated page which provides all important details of DPs)
- vi. Depository Participants Statistics
- vii. Complaints received and redressed against DPs
- viii. Details of Arbitration Proceedings
- ix. Penal Actions
- x. Depository Statistics – BO Aggregate (provides demat details across different categories of investors)
- xi. Details of Frozen Account
- xii. Beneficiary Position Statement (provides list of demat account holders and the number of shares they hold)
- xiii. Status of PAN Compliance

2. Foreign Portfolio Investors (FPIs)

- i. Daily Trends in FII / FPI Investments
- ii. Sector-wise FII / FPI Investment Data (fortnightly frequency)
- iii. Debt Utilisation Status
- iv. Country wise (Top 10) data
- v. Category wise data
- vi. Asset Under Custody (AUC) data
- vii. Category wise AUC data
- viii. Caution/ Breach List

¹ This is an indicative list of the minimum data available on the websites of all depositories. They may offer additional data on their websites beyond what is required by regulation.

ix. Foreign Investments Limits for companies

3. Demat/ Remat Statistics

- i. Securities available for Demat: Equity, Mutual Fund units, Debt instruments etc.
- ii. Demat Custody: Number of Securities and Value of Securities
- iii. Details of Equity shares Dematerialised/ Rematerialised (fortnightly frequency)
- iv. Scrips for Dematerialisation/ Rematerialisation
- v. State-wise data on demat accounts (No. of demat accounts along with distribution among age groups and gender)

4. Issuer Companies/ RTAs

- i. List of Issuers and ISINs
- ii. Important details of issuers
- iii. List of RTAs
- iv. Redressal of complaints lodged by investors against issuer companies (yearly)
- v. Status report of complaints (monthly)
- vi. Details of Off-Market/Inter-depository transactions
- vii. Top 20 Scrips with Off-Market/Inter-depository transactions
- viii. Investor category-wise demat and physical shareholdings

First Basket (Voluminous data not available on the website as a bulk download but can be provided on request)²

1. Investor category-wise details on shareholding patterns, including voting rights for each issuer (As per SEBI (LODR) Regulations)
2. Issuer wise demat and physical holdings
3. PIN code wise data on demat accounts (No. of demat accounts along with distribution among age groups and gender)
4. Panel data on basic details of DPs (viz. no. of investor accounts, no. of branches, no. of investor complaints etc.)
5. Transaction details of Non Disposal Undertaking (NDU) captured in the depository system (viz. quantity of securities, name of the entity in whose favour such NDU is entered etc.)

² The above-mentioned voluminous records might be available for different periods for both depositories depending upon their internal policies.

Also, a template pertaining to data categorised under this basket will be made available on the respective websites of depositories.

Second Basket (Data which cannot be shared with the public)

1. Confidential information of DPs, RTAs, Issuers etc. (for eg. internal audit reports, non-public financial details, contract etc.)
2. Details of settlement transactions of demat account holders
3. Pan-wise data of demat account holders.
4. Any personal identifiable information of demat account holder
5. Holding details of individuals, entity etc.
6. Margin pledge data of demat account holder
7. Off market and inter-depository transfer data of demat account holders
8. IPO credit details of demat account holders