

Guidelines to Seek Data

Overview:

At National Securities Depository Limited (“NSDL”), we are regulated by the Depository & Participants Regulations, 2018 (“D&P Regulations”). The regulations were amended in February 2023 laying down the framework for sharing and monitoring of data. Data Sharing Policy is framed to facilitate access to Data in NSDL to meet a variety of requirements like to undertake Analytics projects, Research activities, and academic studies etc. by various educational/research institutions and other regulators. Data Sharing Policy is aimed at streamlining the process of Data sharing and formalization of Data protection measures to prevent Data from misuse and unauthorized access.

This policy is principles-based essentially to ensure a seamless process for sharing of Data. Data Seekers are required to adhere to any relevant regulatory requirements, including those relating to the ethical use of Data. As a rule, Data shared will be mostly historical, anonymized and the Data seekers will be required to sign an undertaking of confidentiality and nondisclosure.

Process to Seek Data:

The process to be followed while seeking data from NSDL is given below:

1. To obtain the data, Data Seeker needs to fill up the “Data Seeking Request Form” available on NSDL website <https://nsdl.co.in> . The Data Seeking Request Form is attached as **Annexure A**
2. The Data seeking request form should be signed and approved by the Concerned Authority of the Recipient institute.
3. Upon receiving the Data Seeking Request Form and necessary approvals, an Undertaking / Non-disclosure agreement will be signed between NSDL and the Data seeker except for internal purposes.
4. Based on the request made by Data Seeker, the designated NSDL official shall verify and approve the request and decide the scope of data to be shared inter-alia covering master level data, transaction level data, period of data, periodicity of sharing, level of masking of data, mode of sharing, legal and commercial modalities, etc.
5. Data will be shared with the approved Data Seeker via approved secured channels including official email, SFTP, or any other approved media.
6. On Completion of Duration of project, Data Seeker needs to purge the data.
7. The Data Seeker needs to send a confirmation to NSDL within 5 (five) days after the date of purging the data as declared in the Data Seeking Request Form.
