



Circular No.: NSDL/POLICY/2024/0041

April 01, 2024

Subject: Standardization of File Formats.

Attention of Participants is invited to NSDL Circular no. NSDL/POLICY/2023/178 dated December 21, 2023, regarding Standardization of file formats wherein the list of fields, standard values and ISO tags were defined.

Further, Participants were also informed that the new standardized file formats shall be published and implemented in phased manner. In this regard, as part of the fifth and final phase, the file formats for following uploads and downloads are enclosed at separate **Annexures**.

1. Account Maintenance Uploads (Uploads)

A separate option viz., 'Standardized Account Maintenance' for account maintenance upload has been provided in Local DPM system. Participants will have to provide rights to the new function viz., 'Standardized Account Maintenance' under the system security module to enable the aforesaid option.

Annexure A covers the UDiFF standardized formats for Account Opening/Modification/Closure request and response formats.

2. Client Master Export

Annexure A covers the UDiFF standardized format for Client Master download/export format available at Local DPM system. The existing users of Participants who are handling Client Master download/export activity will be enabled for Client Master download/export in respect of UDiFF standardized format.

3. Change Order of the day (COD) Export

Annexure B covers the UDiFF standardized format for COD download/export format provided in Local DPM system. The existing users of Participants who are handling COD download/export activity will be enabled for COD download/export activity of UDiFF standardized format.



4. Common Transaction Uploads and Response (eDPM)

A separate link for standardized transaction upload has been provided in NSDL eDPM application. The existing users of Participants who are handling upload of transactions activity will be enabled for standardized transaction upload facility.

Annexure C covers the UDiFF standardized format for all transactions uploaded that are currently available in Common Transaction Upload formats.

5. Margin Pledge Transaction Uploads

Annexure D covers the UDiFF standardized format for Margin Pledge/Repledge application. The existing users of Participants who are handling Margin Pledge/Repledge activity will be enabled for Margin Pledge/Repledge facility of UDiFF standardized format.

The aforementioned file formats have been implemented from EOD of **March 30, 2024**.

The annexure contains the original field names along with the corresponding ISO tags and the standardized data types and data lengths. As this format is common across both the depositories, some fields may not be applicable for NSDL currently. However, these fields may be used in future on a need basis.

Further, the existing file formats shall continue to be available in parallel with the new standardized file formats for a defined period. Thereafter, as informed to Participants vide NSDL Circular ref. no NSDL/POLICY/2024/0035 dated March 21, 2024, the existing file formats shall be discontinued after May 15, 2024, and only new file formats will be applicable.

All Participants are advised to note the aforesaid points and make necessary changes in their back-office system.

For and on behalf of

National Securities Depository Limited

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Manager



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FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	1. Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter. 2. Circular No.: NSDL/POLICY/2023/0161 dated November 13, 2023
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Annually)	Within three months of the end of the financial year	Through e-PASS	Circular No.: NSDL/POLICY/2024/0003 dated January 05, 2024
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15 th of the following month.	Through e-PASS	Para 2.59 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Reporting of status of the alerts generated by Participants (Quarterly)	Within 15 days from end of the quarter	Through e-PASS	Para 11.7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Risk based Supervision of Participants (Half yearly)	By April 30 th	Through e-PASS	Para 7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Tariff Sheet (Yearly)	By April 30 th	Through e-PASS	Circular No.: NSDL/POLICY/2023/0158 dated November 09, 2023
Internal/ Concurrent Audit Report (Half yearly)	By May 15 th	Through e-PASS	Para 20.4 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter


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