



NSDL

ISO 27001 Certified



*NEST UPDATE

September 2007

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Launch of SMS Alert Facility for Investors

NSDL has launched SMS Alert facility for demat account holders whereby investors can receive alerts for debits (transfers) to their demat accounts. Under this facility, investors can receive alerts for the debits (transfers) that have taken place in their demat accounts, a day after the debit (transfer). These alerts are sent to those account holders who have provided their mobile numbers to their Depository Participants (DPs). Alerts are sent giving details, if the debits (transfers) are up to five ISINs in a day. In case debits (transfers) are for more than five ISINs, alerts are sent with a message that debits for more than five ISINs have taken place and that the investor can check the details with the DP or on **IDEAS** website, if the investor is an **IDEAS** subscriber.

Benefits

1. Investors will get to know debits to their demat accounts without having to call-up their DPs.
2. Investors need not wait for receiving Transaction Statements from DPs to verify the debits.
3. In case of any discrepancy, the investor can approach its DP for clarification sooner.

Charges

No charge is levied by NSDL on DPs for providing this facility to investors.

Registration

This facility is available to investors who request for such a facility and provide their mobile numbers to the DPs. In case mobile numbers already given have changed, investors need to inform their DPs about the new numbers by way of written requests. Investors who have not yet provided their mobile numbers to their DPs can also avail this facility by intimating their mobile numbers to their DPs and submit a written request for this facility. This facility is not available to investors who have registered mobile numbers originating outside India.

Thus, this facility will be available to the investors provided they have given their mobile numbers to their DPs and the DPs have captured the numbers in the computer system and have also enabled (ticked) the SMS flag in their system.

Contact

The investors may contact their respective DPs in case they do not receive SMS alert for debits to their accounts inspite of registering for this facility. Those investors who have provided their mobile numbers to their DPs but do not wish to avail this facility may also inform their DPs.

More details regarding this facility are available on our website www.nsdl.co.in.

Closure of HSBC Securities and Capital Markets (India) Private Limited DP Operations

HSBC Securities and Capital Markets (India) Private Limited (HSBC Securities) (DP ID IN300255), a DP of NSDL has informed NSDL that it intends to close down its depository operations.

Clients of HSBC Securities are requested to get their securities transferred to the account opened with any other DP or get them rematerialised.

*National Electronic Settlement and Transfer

Closure of CLSA India Limited DP Operations

CLSA India Limited (DP ID IN302445), a DP of NSDL has informed NSDL that it intends to close down its depository operations.

Clients of CLSA India Limited are requested to get their securities transferred to the account opened with any other DP or get them rematerialised.

Name Change of DPs

Consequent to the change in name of the following DPs, the Securities and Exchange Board of India has granted certificate of registration :

Old Name	New Name	DP ID
UTI Bank Limited	Axis Bank Limited	IN300484
Man Financial Sify Securities India Private Limited	MF Global Sify Securities India Private Limited	IN302164
JM Morgan Stanley Securities Private Limited	Morgan Stanley India Company Private Limited	IN300433
DDAV Securities Private Limited	Dawnay Day AV Securities Private Limited	IN303288

Subscription to SPEED-e

The following DP has subscribed to the SPEED-e facility of NSDL in the month of August 2007:

- J K Securities Pvt. Ltd.

Clients of the above mentioned DP can now avail of the facility of submitting various instructions through SPEED-e facility. This takes the total number of DPs who have subscribed to SPEED-e to 74.

IDeAS facility made mandatory for all DPs

As investors are aware, **IDeAS** facility was made mandatory for all the DPs in May 2007. It is an internet based facility for viewing latest balances and transactions during last 30 days. Those clients who wish to avail of this facility should contact their DPs for more details about **IDeAS** or visit at <https://speed-e.nsd1.com>.

Training Programmes for Compliance Officers of DPs

NSDL conducted training programmes in Chandigarh, Bangalore and Hyderabad on August 4, 18 and 25, 2007 respectively for compliance officers of DPs. Areas covered during the training programmes were compliance requirements, surveillance & inspection and internal audit. These programmes were attended by 68 persons representing 17 DPs.

NCFM oriented training programmes for DPs

NSDL conducts NCFM (NSE's Certification in Financial Markets - NSDL-Depository Operations Module) oriented training programmes for DPs to facilitate them for the NCFM examination. The programmes were conducted in Chandigarh and Lucknow on August 3 and 17, 2007 respectively, which were attended by 39 persons representing 7 DPs.

New account sub-types added to enable promoters to separately hold securities issued as "Promoter" of the company

New sub-types have been added under various Client types to enable promoters to separately hold securities issued as "Promoter" of the company. While opening such accounts, clients will have to submit an undertaking to the DP that said beneficiary account(s) are opened for the sole purpose of holding and transacting for the securities held as promoter and no other securities will be held/transacted in the said account.

This has been informed to DPs vide Circular No. NSDL/POLICY/2007/0047 dated August 13, 2007.

Revised procedure for opening and operation of depository account of blind persons

In consideration of the representations received by NSDL from organisations working for the development & welfare of blind persons and keeping in view the technological advancements and the desire of the blind person(s) to act and represent themselves independently, DPs have been informed to follow the procedure given below:

- 1) Obtain Proof of Identity, Proof of Address and the photograph of the blind person(s) (hereinafter referred as Client) as per the laid down procedures. DPs should carry-out 'in-person' verification of the Client and obtain PAN card of the Client as per the laid down procedures.
- 2) The requirement of companion(s) is withdrawn.
- 3) DP should read out and explain to the Client, the contents of the account opening form and DP-Client agreement whenever so requested by the Clients. In case the Client is illiterate, DP should follow the stipulated procedure in this regard.
- 4) The Delivery Instruction Slips (DIS) issued by DPs to such Clients should be pre-stamped as "Visually Challenged" or "Visually Challenged and Thumb Impression" as the case may be. Further, these Client(s) should visit the office of the DP to deliver the DIS, only if they are illiterate.

The above mentioned procedures will be applicable for new accounts and for processing of DIS for the existing Clients.

This has been informed to DPs vide Circular No. NSDL/POLICY/2007/0049 dated August 21, 2007.

Performance Summary

Investors :

Table 1

Month - Year	Client Accounts
	No. of Accounts (lakh)
Aug-05	68.05
Aug-06	78.33
Aug-07	79.16

As on August 31, 2007, there are 79,16,149 investor accounts from within the country and abroad. 2,169 clearing member accounts have been opened to facilitate trading and settlement of demat shares in the stock exchanges connected to NSDL. Table 1 shows the growth in investor accounts over a period of three years.

Dematerialisation :

As on August 31, 2007, 22,014 cr. securities having a value of Rs. 38,66,690 cr. were dematerialised. Table 2 shows the dematerialisation figures over the last three years.

Table 2

Month - Year	Demat Quantity (Cr.)	Demat Value (Rs. Cr.)
Aug-05	14,708	1,771,445
Aug-06	19,040	2,579,119
Aug-07	22,014	3,866,690

Settlement :

Table 3

Month - Year	Settlement Quantity (Cr.)	Settlement Value (Rs. Cr.)
Aug-05	479	50,687
Aug-06	288	49,592
Aug-07	522	93,346

(Single side)

In August 2007, a total quantity of 522 cr. shares having a value of Rs. 93,346 cr. were settled in demat form in the stock exchanges connected to NSDL. Table 3 shows the settlement figures over the past three years.

Companies :

EQUITY

As on August 31, 2007, 6,889 companies are available for demat. Table 4 indicate the increase in the number of companies that have joined NSDL over a period of three years.

Table 4

Month - Year	No. of Companies Operational
Aug-05	5,722
Aug-06	6,248
Aug-07	6,889

DEBENTURES / BONDS

Table 4A

Month - Year	No. of Issuers	No. of active Instruments	Demat Value (Rs. Cr.)
Jun-07	671	6,968	400,596
Jul-07	673	7,020	407,657
Aug-07	674	6,982	402,967

As on Aug 25, 2007, 674 issuers have issued 21,782 debentures / bonds in demat form. 14,800 instruments have been redeemed and 6,982 instruments are available for dematerialisation. Table 4A shows the growth over a period of last three months.

COMMERCIAL PAPER

As on August 25, 2007, 388 issuers have issued 9,499 commercial papers in demat form. 8,840 instruments have been redeemed and 659 commercial papers are available for dematerialisation. Table 4B shows the growth over a period of last three months.

Table 4B

Month - Year	No. of Issuers	No. of active Instruments
Jun-07	387	530
Jul-07	387	655
Aug-07	388	659

Depository Participants :

Table 5

Month - Year	No. of DPs
Aug-05	217
Aug-06	232
Aug-07	248

As on August 31, 2007, 248 Depository Participants are offering depository services. DP services are provided from 6,518 locations across 748 cities / towns in the country.

Corporate Action Statistics

Sr. No.	Description	During August 2007		For the F.Y. 2007-2008 (upto Aug. 2007)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	1,185,424	2,541,535,512	3,316,208	12,285,569,741
2	Preference Shares	3,040	17,720,137	3,074	895,412,430
3	Bonds	630	15,949,946	5,941	191,453,995
4	Commercial Papers	142	139,790	794	717,821
5	Certificate of Deposits	290	1,974,940	1,231	8,670,225
6	Securitized Instruments	122	299,272	868	15,510,512
7	Mutual Fund Units	2,786	8,289,974	30,838	242,673,550
8	Warrants	1	2,500,000	3,145	33,827,721
9	Postal Savings Certificates	88	28,312,500*	425	55,534,300*
10	Commodities	699	11,647	3,266	183,223
Total		1,193,222	2,616,733,718	3,365,790	13,729,553,518

* Value in Rupees

List of Companies that have changed Names

(During August 2007)

Sr. No.	Old Name	New Name
1	South East Asia Marine Engineering & Construction Ltd	Seamec Limited
2	Gujarat Ambuja Cements Limited	Ambuja Cements Limited
3	UTI Bank Limited	Axis Bank Limited
4	Minda HUF Limited	Minda Corporation Limited
5	Videocon Communications Limited	Trend Electronics Limited
6	Vans Information Limited	In House Productions Limited
7	Sutlej Industries Limited	SIL Investments Limited
8	Orissa Sponge Iron Limited	Orissa Sponge Iron & Steel Limited
9	Emaar MGF Land Private Limited	Emaar MGF Land Limited
10	Raipur Alloys & Steel Limited	Sarda Energy & Minerals Limited
11	Nikita Plast Industries Private Limited	Kris Flexipacks Private Limited
12	Gujrat Overseas Drugs Limited	Trans Asia Corporation Limited
13	Bayer Diagnostics India Limited	Siemens Medical Solutions Diagnostics Ltd
14	Amrit Enterprises Limited	Amrit Banaspati Company Limited
15	Allianz Securities Limited	Almondz Global Securities Limited
16	Subh Laxmi Projects Limited	Concrete Credit Limited

Securities admitted for Dematerialisation during August 2007

COMPANY	ISIN	COMPANY	ISIN
A.S. Cargo Movers Private Limited	INE593I01019	Mini Diamonds (India) Limited	INE281E01010
ABC Paper Limited	INE529I01013	Niraj Cement Structurals Ltd	INE368I01016
ACE Refractories Limited	INE521I01010	Paramount Cosmetics (India) Limited	INE143I01013
Adani Agri Logistics Limited	INE557I01014	Prakash Woollen Mills Limited	INE523I01016
Adarsh Prime Projects Private Limited	INE585I01015	Progressive Services Limited	INE568I01011
Alstom Energy Limited	INE555I01018	Pune Stock Exchange Limited	INE545I01019
Arcotech Limited	INE574I01019	Radio Mid-day West (India) Limited	INE077I01013
Arihant Domestic Appliances Pvt Ltd.	INE549I01011	Ramprastha Developers Private Ltd	INE602I01018
Associated Hotels Limited	INE512I01019	Ramprastha Estates Private Limited	INE598I01018
Bangalore Elevated Tollway Limited	INE548I01013	Ramprastha Housing Private Ltd	INE601I01010
Bangalore Stock Exchange Ltd	INE506I01011	Ramprastha Infrastructure Pvt Ltd	INE599I01016
Bemco Hydraulics Limited	INE142E01014	Ramprastha Infratech Private Ltd	INE604I01014
Boxtrans Logistics (India) Services Pvt Ltd	INE569I01019	Ramprastha Promoters Private Ltd	INE605I01011
Computer Age Management Services Pvt Ltd	INE596I01012	Ramprastha Realtors Pvt Ltd	INE600I01012
Consolidated Construction Consortium Ltd	INE429I01016	Ramprastha Townships Private Ltd	INE603I01016
Dudhoria Construction Company Ltd	INE556I01016	Ramsarup Lohh Udyog Limited	INE570I01017
E-logistics Private Limited	INE531I01019	Rathi Bars Limited	INE575I01016
ETT Limited	INE546I01017	Sharda Motor Industries Limited	INE597I01010
Farm Enterprises Limited	INE540I01010	SMS Shivnath Infrastructure Ltd	INE595I01014
GMR Ferro Alloys & Industries Limited	INE592I01011	Sonal Adhesives Limited	INE344I01017
Good Luck Steel Tubes Limited	INE127I01016	Spray Engineering Devices Limited	INE528I01015
Iris Computers Limited	INE530I01011	Standard Chartered Investments And Loans	INE403G01015
J. Kumar Infraprojects Limited	INE576I01014	Tops Security Limited	INE527I01017
Kaveri Seed Company Limited	INE455I01011	Virgo Engineers Limited	INE533I01015
Koutons Retail India Limited	INE406I01014	Wockhardt Hospitals Limited	INE532I01017

NSDL Calendar of Events

Business Partner Training

October 9-11, 2007	Depository Participant Training
October 19, 2007	NCFM-oriented Training (Indore)
October 20, 2007	Compliance Training (Indore)
October 26, 2007	NCFM-oriented Training (Agra)
October 27, 2007	Compliance Training (Agra)

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"Printed & Published by **Mr. Jayesh Sule** on behalf of **National Securities Depository Limited** and Printed at **Pratima Printers**, 66, Ideal Indl. Estate, S. B. Marg, Lower Parel, Mumbai - 400 013 and Published from **National Securities Depository Limited**, 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.
Editor: Mr. Jayesh Sule"

Demat-by-NSDL ... Towards a secure future !!!

Nest Update September, 2007

Visit our website at www.nsdl.co.in

NEST UPDATE

BE AN INFORMED DEMAT ACCOUNT HOLDER

*For Safe, Efficient and Convenient Demat Experience,
remember the following:*

- *Submit copy of your PAN Card to your Depository Participant (DP)*
- *Keep your Delivery Instruction Slips in safe custody. Fill the slips completely before signing and submitting to the DP. Do not sign blank slips*
- *Submit Delivery Instruction Slips to your DP before the deadline time*
- *In case of a change in your address or bank details, inform your DP immediately*
- *Make sure your DP sends Transaction Statements to you regularly*
- *Avail of the Nomination facility for your Demat Account*
- *Give Power of Attorney only if you so desire and that too, to a trusted person*
- *Avail of the internet-based **IDeAS** and **SPEED-e** facilities to view and transfer your securities online*
- *Avail of SMS Alert facility to know transfer of shares from your account*
- *Avail of "Transposition cum Demat" facility to dematerialise securities held in joint names in the same account even though names in the certificates are in different order*



Issued by NSDL in the interest of DEMAT account holders

NATIONAL SECURITIES DEPOSITORY LIMITED

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