



NSDL
ISO 27001 Certified



15th
**Anniversary
Issue**

NSDL

UPDATE
NOVEMBER 2011

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From the Managing Director & CEO's Desk



Dear Reader,

We are pleased to inform you that NSDL, the first and the largest depository in India, has completed 15 years of operations in November this year. The total number of demat accounts in the NSDL system stood at over 1.18 crore (as on October 31, 2011). During last two years, about 28 lakh new accounts were opened. In keeping with its practice of reaching out to the investors to get their feedback and apprising them of the recent developments taking place in the depository system, NSDL has been conducting Investor Depository Meets (IDM) since its inception. During last year, NSDL organized 108 such investor meets in 98 cities/towns and interacted with more than 8,500 investors across the country. So far, NSDL has conducted more than 1,000 investor meets which have been attended by more than 1,20,000 investors.

As you are aware, mutual fund units can now be held in your demat account. Investors can also convert existing mutual fund units represented by Statement of Accounts (SOA) into the dematerialised form and hold them in their demat account so that, they have a single Transaction Statement for equity shares, debentures and mutual fund units. In order to encourage investors to hold mutual fund units in the depository system, NSDL has decided not to charge any fee to its Depository Participants (DPs) till March 31, 2012, for transactions in mutual fund units held in the depository system.

We are also pleased to inform you that NSDL has redeveloped the entire Depository application software using latest technology, wherein the design is optimized to achieve eight fold higher performance. This essentially means a faster, scalable and more user friendly system.

As a part of its commitment towards providing investor friendly facilities and increasing transparency, NSDL has been introducing various innovative features in its depository system. One such internet based initiative is **SPEED-e**. NSDL has now enhanced its **SPEED-e** facility to include the feature of **SPEED-e** Direct wherein instructions submitted by Users of **SPEED-e** (i.e., *Clients and Clearing Members*) can be directly transmitted to NSDL.

NSDL has also enhanced its SMS alert facility wherein Clients (whose mobile numbers are captured in the system) will receive SMS alerts on their mobile phones upon registration/ de-registration of

Power of Attorney (POA) in their depository accounts. The New Depository System has also made it possible for the clients to receive SMS alerts on a real time basis.

This NSDL Update, the 15th anniversary edition, gives details of the progress of NSDL on various fronts. NSDL holds about 88% of securities held in dematerialised mode in India indicating preference of investors to hold their financial assets in the NSDL Depository System. Our accountholders are serviced by Depository Participants (DPs) through more than 13,700 service centres across more than 1,500 cities/towns in the country. More than 9,300 companies have joined NSDL. Till October 2011, 54,541 crore securities worth more than Rs.69 lakh crore (more than USD 1,500 billion) have been dematerialised with NSDL. This progress would not have been possible but for the market acceptance of our services and the support of investors in the capital market.

We are thankful to all those who stood by us and partnered with us to reach this far.

I look forward to your continued support and cooperation.

Gagan Rai
Managing Director & CEO

News

SEBI's Circular on option to hold Mutual Fund units in demat form

SEBI has vide Circular No. CIR/IMD/DF/9/2011 dated May 19, 2011 mandated all Mutual Funds (MFs) / Asset Management Companies (AMCs) to do the following w.e.f. October 1, 2011:

To simplify the process of subscribing and holding mutual fund units in demat form:

- MFs / AMCs to provide an option to the investors to mention demat account details in the subscription form.
- AMC to print ISIN details on Statement of Account issued to investors of MF units.

(Ref: Circular No. NSDL/POLICY/2011/0080 dated October 10, 2011)

SEBI's circular on Uniform Know Your Client (KYC) Requirements for the Securities Markets

SEBI has been getting feedback from the investors that various SEBI registered intermediaries follow different KYC requirements. SEBI has vide Circular No. MIRSD/SE/Cir-21/2011 dated October 5, 2011 informed regarding uniform KYC requirements for the securities markets. Same KYC form and supporting documents shall be used by all SEBI registered intermediaries mentioned in the aforesaid circular.

(Ref: Circular No. NSDL/POLICY/2011/0081 dated October 10, 2011)

SPEED-e facility enhanced

a) Launch of *SPEED-e* Direct

NSDL has enhanced its *SPEED-e* facility which includes the new feature i.e. *SPEED-e* Direct wherein instructions submitted by Users of *SPEED-e* (i.e. Clients and Clearing Members) can be directly transmitted to NSDL. This will eliminate the need to download and upload instructions by Participants. Participants will have the option to choose the Users of *SPEED-e* for whom they intend to offer this facility i.e., Participants will have the ability to register/de-register Users of *SPEED-e* for *SPEED-e* Direct.

(Ref: Circular No. NSDL/POLICY/2011/0084 dated October 15, 2011)

b) New feature on *SPEED-e* facility - Selection of instruction and ISIN from SOH-SOT view screen

Earlier, Clients (i.e., beneficiary account holders) who have subscribed to *SPEED-e* facility were required to manually capture the ISIN(s) at the time of submitting instructions on *SPEED-e*. *SPEED-e* facility has further been enhanced to facilitate Clients (*password and e-token Users*) to select the type of instruction and ISIN(s) directly from the Statement of Holdings screen which will eliminate the need to manually capture the ISIN(s) at the time of submitting the instructions. For availing this facility, Clients should have subscribed to *IDeAS* facility as well.

(Ref: Circular No. NSDL/POLICY/2011/0087 dated October 22, 2011)

Deadline time for submission of instructions with current date for Users of *SPEED-e* Direct

With effect from October 31, 2011, the revised deadline time for submission of instructions by Users of *SPEED-e* Direct through *SPEED-e* facility with current date as the execution date for intra

and inter depository transfer instructions is as follows:

Sr. No.	Particulars	Current deadline time for Users of <i>SPEED-e</i> Direct	Revised deadline time for Users of <i>SPEED-e</i> Direct
1	Intra Depository transfers (i.e., transfers within NSDL)	8.15 pm	9.15 pm
2	Inter Depository Delivery Transfers (i.e., Client/CM Account Transfer to other Depository)	6.00 pm	7.00 pm

The deadline time for submission of instructions by Users of *SPEED-e* Direct through *SPEED-e* facility with current date as the execution date on Saturdays and Pay-in instructions on a Pay-in day for NSE, BSE, CSE & other Exchanges will remain unchanged.

(Ref: Circular No. NSDL/POLICY/2011/0089 dated October 31, 2011)

NCDO training / certification programmes for Participants

NSDL conducts training and certification programmes for Participants to increase the availability of trained personnel for depository operations. In October 2011, such programmes were conducted in Bangalore, Chennai, Lucknow, Mumbai, Nagercoil and New Delhi. In all, 240 persons attended the training programmes conducted at these locations.

One Participant became operational in NSDL during October 2011

In October 2011, following Participant became operational in NSDL:

Sr. No.	Participant Name	City
1	S.S.A.T Consultants Private Limited (DP ID IN303989)	New Delhi

The above additions take the total number of operational Participants to 281 and the total number of DPM set-ups to 359.

Subscription to *SPEED-e*

During October 2011, one more Participant has subscribed to the *SPEED-e* facility viz:

- HSBC Invest Direct Securities (India) Limited (DP ID IN303825)

Clients of the above mentioned Participant can now avail of the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 112.

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Oct-09	101.88
Oct-10	110.69
Oct-11	118.02

Participants :

Month -Year	No. of Participants	Service Centres	Cities / Towns
Oct-09	284	10,069	1,062
Oct-10	290	12,228	1,430
Oct-11	298	13,752	1,500

Dematerialisation :

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Oct-09	31,831	48,43,526
Oct-10	41,930	66,92,594
Oct-11	54,391	69,50,309

Settlement :

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Oct-09	760	1,43,988
Oct-10	954	1,65,756
Oct-11	475	87,213

(Single Side)

Companies

EQUITY

Month -Year	No. of Companies Operational
Oct-09	7,872
Oct-10	8,570
Oct-11	9,300

DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-09	514	6,531	6,51,949
Oct-10	568	6,802	8,09,415
Oct-11	592	7,189	9,14,129

SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-09	581	940	61,589
Oct-10	596	900	46,131
Oct-11	588	893	38,659

COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-09	187	858	1,03,798
Oct-10	225	1,384	1,50,067
Oct-11	243	1,817	1,73,441

CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-09	47	632	2,49,758
Oct-10	42	777	3,52,899
Oct-11	46	1,308	4,08,510

NSDL Initiatives

During the last 12 months (i.e. November 2010 to October 2011), the following initiatives were taken by NSDL with an objective of spreading awareness about the depository system, its benefits and safety features and to provide new facilities amongst its users:

New Facilities:

➤ **Settlement fee on transactions in mutual fund units in the depository system**

In order to facilitate transactions in mutual funds units through stock exchange platform, NSDL has enabled holding of mutual fund units in dematerialised form. To encourage investors to hold mutual fund units in the depository system, NSDL has decided to continue not to charge any fee to Participants in respect of transactions in mutual fund units held in the depository system till March 31, 2012.

➤ **Credit of Mutual Fund Units of Equity Linked Saving Schemes (ELSS) under lock-in in Beneficial Owner accounts**

Facility to transact in Mutual Fund Schemes through the Stock Exchange infrastructure has been further enhanced to facilitate Clients to subscribe to Mutual Fund (MF) Units of ELSS through the Stock Exchange infrastructure. The MF units of ELSS credited in the Beneficial Owner account of Clients by their Clearing Members (CM) would be locked-in for a period of three years from the date of allotment.

➤ **Facility for Clearing Members to View, Print and Download Statement of Holdings on *IDeAS***

IDeAS facility enables Clearing Members (CMs) to view and download latest balances and transactions executed in their CM Pool accounts in respect of settlements for the current pay-in date, previous four and next four pay-in dates.

NSDL has further enhanced its *IDeAS* facility wherein CMs can view, print and download Statement of Holding (SOH) in respect of their CM Pool Accounts. In view of this, CMs will have the ability to:

- View SOH
- Download SOH provided in 'PDF' format and print, if required
- Download soft copy of SOH in 'txt' format for onward processing in their back office system

➤ **Procedural changes made to facilitate new features for mutual fund units held in demat accounts**

The following new features for mutual fund units held in demat accounts are introduced:

- **Amount based redemption:** Investors desiring to redeem their mutual fund units held in their demat accounts can specify the amount they intend to receive on redemption and the AMC/RTA will debit the appropriate number of units from the account of the investor.

- **Redemption of “ALL” units:** Investors desiring to redeem all the units held in their demat account of a certain scheme of a mutual fund can write “ALL” instead of mentioning the exact number of units. At the time of confirmation of the redemption request, the AMC/RTA can specify the number of units held and the units will get debited from the account of the investor.
- **Conversion of “ALL” units:** Investors desiring to convert all the units held in their folio with the AMC/RTA can write “ALL” on the Conversion Request Form instead of mentioning the exact number of units. At the time of confirmation of the conversion request, the AMC/RTA can specify the number of units held and the units will get credited to the account of the investor.
- **Re-conversion facility:** Investor desiring to re-convert the units held in their demat account to units in Statement of Account Form with the AMC/RTA can make a request to its Participant in the Re-conversion Request Form.

➤ **Amendment to Annexure P incorporating ISIN level freeze / unfreeze for debit & credit**

Clients can now also avail the facility of ISIN level freeze/ unfreeze for debit & credit by filling up the revised Annexure P (Application for Freezing/Unfreezing of an Account and/or ISIN and /or specific number of securities).

➤ **Changes in Client Details based on request received from Client through email or website of Participants**

Clients can now avail the facility of adding, modifying or deleting the details of their depository accounts viz; Phone number, Fax number, Mobile number, Email ID and SMS facility by sending a letter or email to the Participant or through website of the Participant.

➤ **SMS Alert facility:**

After launching SMS Alert facility in September 2007, NSDL has been enhancing the facility for demat accountholders by adding new features. Latest features of SMS Alerts introduced by NSDL are Registration and De-registration of Power of Attorney, credit / debit of Mutual Fund Units, credits in respect to account transfers and alerts upon invocation of pledged securities to Pledgor Clients.

SMS alerts are now sent by NSDL to demat accountholders for the following:

- (1) All Debits and Credits (transfers)
- (2) Credits for IPO, bonus & sub-division
- (3) Failed instructions
- (4) Overdue instructions

- (5) Change of mobile number
- (6) Change of address
- (7) Credit / debit of Mutual Fund Units
- (8) Alerts upon invocation of pledged securities to Pledgor Clients
- (9) Registration and De-registration of Power of Attorney

NSDL offers this facility free of cost for the benefit of investors. The SMS alert facility is available to the investors provided they have given their mobile numbers to their Participants and made a request for this facility.

Towards Investor Awareness:

- **Investor Depository Meets (IDMs)** - NSDL interacted with investors throughout the country through a series of 'Investor Depository Meets'. These programs were organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment.

During November 1, 2010 to October 31, 2011, NSDL organised 108 IDMs in 98 different cities/towns across the country, which were attended by more than 8,500 persons. The zone-wise details of these seminars organised during the period are given below:

Zone	No. of IDMs
East	20
West	38
North	23
South	27
Total	108

- **Investor's Guide** - NSDL publishes an "Investor's Guide to Depositories" for promoting investor's understanding of the depository system. This guide attempts to answer frequently asked questions raised by the investors in relation to depository operations. Apart from English and Hindi, this guide is also available in some other regional languages. Investors may obtain a copy of this publication free of cost from NSDL, Mumbai and its branches at New Delhi, Kolkata, Chennai and Ahmedabad. It can also be downloaded from NSDL's website.
- **Posters** - NSDL has been undertaking various steps to educate the investors and keep them apprised of the developments taking place in the depository system. As a part of our investor awareness

initiatives, NSDL distributes posters to Participant service centres to help Clients know about the features and facilities in the NSDL depository system and precautions that they need to take while opening and operating their depository accounts.

- **NSDL Website** - NSDL website hosts exhaustive information for investors, companies and Participants. Details available on the website include list of securities available for demat, Participant locations and contact details, dates & venues of forthcoming IDMs, various search options, important circulars, Bye Laws & Business Rules of NSDL etc. The website also facilitates registration of grievance and displays list of companies with poor demat confirmation record.

Towards education of Business Partners etc.:

- **NCDO training programmes for Participants:** NSDL conducts NSDL Certification in Depository Operations (NCDO) for Participants of NSDL. NCDO oriented training programme covers various aspects of depository operations followed by a written test. Certificate is given to the participant on successful passing of the test which is valid for a period of five years. The persons holding the certificate are considered as qualified personnel in relation to the requirement for service centres of the Participant.

During November 2010 to October 2011, 179 such programmes were organised in 48 cities/towns, which were attended by more than 8,200 representatives representing various Participants.

- **Other training programmes for business partners:** NSDL periodically conducts two-day training programmes for Participants. During November 2010 to October 2011, 172 representatives of 30 Participants were trained by NSDL.
- **System Administrator's Training Programme (SATP) for Participants:** In order to disseminate knowledge about various functionalities and information about NSDL's depository system such as features, reports, utilities, back office interface, system security, system performance, frequently asked questions at Help Desk, business continuity plan, etc., NSDL conducted six such programmes in Ahmedabad, Chennai, Delhi, Kolkata and Mumbai which were attended by more than 300 officials of Participants.
- **Training programmes for internal auditors of the Participants:** NSDL conducted training programmes for internal auditors of the Participants. Areas covered during these programmes were Account Opening, Dematerialisation / Rematerialisation, Settlement, Back office operations, critical aspects of Participant Operations, Guidelines on internal audit, Compliance, Participant Inspection; findings and perspectives, Anti Money Laundering Requirement and Systems overview. During the period from November 2010 to October 2011, these programmes were organised in five cities which were attended by 328 representatives from various audit firms.

Corporate Action Statistics

Sr.No.	Description	During October 2011		For F.Y. 2011 - 12 (upto October 2011)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	23,599	5,171,894,310	1,995,897	36,952,959,640
2	Preference Shares	57	939,811,814	8,331	2,107,699,961
3	Bonds	1,720	7,191,916	208,746	541,062,954
4	Commercial Papers	485	851,030	3,302	5,848,968
5	Certificates of Deposit	317	2,379,200	4,494	47,696,636
6	Securitized Instruments	24	59,453,176	47,017	112,159,069
7	Mutual Fund Units	16,765	452,394,712	75,800	2,239,607,993
8	Warrants	0	0	0	0
9	Commodities	221	1,376,320	2,223	12,277,575
10	Mutual Fund Units (Trase)	4,103	23,696,851	24,684	210,390,359
	Total	47,291	6,659,049,329	2,370,494	42,229,703,155

List of Companies that have changed Names

(During October 2011)

Sr. No.	Old Name	New Name
1	Sowbhagya Exports Limited	Sowbhagya Media Limited
2	Standard Chartered -STCI Capital Markets Limited	Standard Chartered Securities (India) Limited
3	Genera Industries Limited	Genera Agri Corp Limited
4	Mavens Internet Limited	Visagar Media Limited
5	Bhandari Consultancy & Finance Limited	Sindhu Trade Links Limited
6	Shyam Star Gems Limited	Swarnsarita Gems Limited
7	Global Boards Limited	Metroglobal Limited
8	SevenHills Healthcare Limited	SevenHills Healthcare Private Limited
9	Bumi Geo Engineering Private Limited	Bumi Geo Engineering Limited
10	Kakinada Fertilizers Limited	Nagarjuna Fertilizers and Chemicals Limited
11	Mangal Keshav Distributions Limited	Mangal Keshav Financial Services Limited
12	BP Energy India Private Limited	Green Infra Wind Energy Limited
13	Caparo Energy (India) Limited	Mytrah Energy (India) Limited
14	Hewlett Packard Globalsoft Limited	Hewlett-Packard Globalsoft Private Limited
15	Pipavav Shipyard Limited	Pipavav Defence and Offshore Engineering Company Limited
16	RDB Industries limited	NTC Industries Limited

New Participant Centres

ANDHRA PRADESH		RAJNANDGAON		SILVASSA		RAICHUR	
ADILABAD		Axis Bank Limited Mahaveer Para, Ph.: 07823-232052/53		HDFC Bank Limited Indraprashta Arcde, Ph.: 0260-2630077		Acumen Capital Markets (India) Limited M.G Road, Lohar Wadi, Ph.: 085-32310003	
GUNTUR		DAMAN & DIU		SURAT		KERALA	
Acumen Capital Markets (India) Limited Near Reliance Store, Ph.: 08633-200140		DAMAN		Sharekhan Limited Bhatar Road, Ph.: 0261-2239878/09825041222		ERNAKULAM	
HYDERABAD		HDFC Bank Limited Somnath Junction, Dabhel, Ph.: 0260-2244051		HARYANA		Acumen Capital Markets (India) Limited Athani, Aluva, Ph.: 0484-3239383	
Acumen Capital Markets (India) Limited Gandhi Nagar, Ph.: 040-325553501		DELHI		GURGAON		Malayatoor Road, Kalady, Ph.: 0484-2466122	
Axis Bank Limited Chandrayangutta Road, Ph.: 040-24442400/1/8		DELHI		Sharekhan Limited Main Mehrauli Gurgaon Road, Ph.: 0124-4104555/09811341116		Geojit BNP Paribas Financial Services Limited Kolenchery P. O., Ph.: 0484-2760090/ 2761381/09605822355	
KRISHNA		Axis Bank Limited Chawri Bazar, Ph.: 011-23945552/57-59		JHAJJAR		IDUKKI	
HDFC Bank Limited Jaggiahpet, Ph.: 08654-225577		Sharekhan Limited 610 Village Ghonda, Ph.: 09717550099		Sharekhan Limited Vpo Patauda, Ph.: 01251-284910/09467512597		Acumen Capital Markets (India) Limited Library Road, Ph.: 04864-222804	
MANDAPETA		NEW DELHI		NARWAN		KANNUR	
HDFC Bank Limited Yeditha Road, Ph.: 08855-232511		IDBI Bank Limited Krishna Nagar, Ph.: 011-22093381-82		Axis Bank Limited M. C. Road, Ph.: 01768-4244611/22		Acumen Capital Markets (India) Limited Kodolipram, Pattanur, Ph.: 08129035605	
NARSAMPET		IDBI Capital Market Services Limited Sector-20, Dwarka, Ph.: 011-65545731-34		ROHTAK		KARUKUTTY	
HDFC Bank Limited Warangal Road, Ph.: 08718-231174		Sharekhan Limited Rohini Sec 5, Ph.: 09013661295		HDFC Bank Limited Huda Complex, Ph.: 01262-271343		Acumen Capital Markets (India) Limited Vazhachal, Ph.: 0484-2451661	
ASSAM		GOA		JAMMU AND KASHMIR		KONNY	
GUWAHATI		SANQUELIM		SAMBA		Acumen Capital Markets (India) Limited Kanni, Pathanamthitta, Ph.: 0468-2243066	
Axis Bank Limited Zoo Road, Tiniali, Ph.: 0361-22019/84-86		HDFC Bank Limited Gokulwadi, Ph.: 0832-2364466		HDFC Bank Limited Near Mandi Kotli, Ph.: 01923-243931		KOTHAMANGALAM	
BIHAR		GUJARAT		JHARKHAND		Acumen Capital Markets (India) Limited Near Jose College, Ph.: 0485-2862403	
PATNA		AHMEDABAD		RANCHI		KOTTAYAM	
Alankit Assignments Limited Rajendra Nagar, Ph.: 07549200677		Axis Bank Limited Isanpur, Ph.: 079-61903700/05		IDBI Bank Limited Kanke Road, Ph.: 0651-2230316/17/19		Acumen Capital Markets (India) Limited Ponkunnam, Ph.: 04828-225404	
R. K. Global Shares And Securities Limited Fraser Road, Ph.: 09334158990		Sharekhan Limited S G Ighway, Ph.: 079-30021096/09727784756		KARNATAKA		Kanjinrapally, Ph.: 04828-8204631	
Sharekhan Limited Kankarbagh, Ph.: 0612-2384050/ 09835640265/0930872747		AMRELI		BANGALORE		MALAPPURAM	
SITAMARHI		Acumen Capital Markets (India) Limited 12, Keshav Complex, Ph.: 0279-2232348		ICICI Bank Limited Puttalingaiah Road, Ph.: 080-26796210		Acumen Capital Markets (India) Limited Edappal, Ph.: 0494-681909	
HDFC Bank Limited By Pass Road, Ph.: 06226-252400		DHANERA		100 Feet Road, Koramangala, Ph.: 080-42351564			
CHATTISGARH		HDFC Bank Limited Ganj Market Road, Ph.: 02748-221022		Stock Holding Corporation of India Limited 1183, Banashankari 2nd Stage, Ph.: 080-26716471			
VASHRAMPUR		JAMJODHPUR		BELGAUM			
Sharekhan Limited Vishram Pur Road, Ph.: 09754272897		IDBI Capital Market Services Limited Balmandir Road, Ph.: 09998252521		Sharekhan Limited Udyambag, Ph.: 0831-2445117/09731103357			
				GULBARGA			
				IDBI Bank Limited Mahantappa Forum, Ph.: 08472-230148-50			

MUKKOM

Acumen Capital Markets (India) Limited
Abhilash Junction,
Ph.: 0495-3060181

PARUR

Acumen Capital Markets (India) Limited
St. German Church Road,
Ph.: 0484-3229303

PERUMBAVOOR

Acumen Capital Markets (India) Limited
P P Road,
Ph.: 0484-2523151

THIRUVALLA

Acumen Capital Markets (India) Limited
Mallappally,
Ph.: 09847536405

THODUPUZHA

Acumen Capital Markets (India) Limited
Near IMA,
Ph.: 04862-220208

THRISSUR

Acumen Capital Markets (India) Limited
Kodungallur,
Ph.: 0480-2854266
R S Road, Chalakudy,
Ph.: 0480-2746067
P K Mana, Thrissur,
Ph.: 0487-2323598

Geojit BNP Paribas Financial Services Limited
Kudukulam Road,
Ph.: 0487-3294440/
09895170301/08606666111

MAHARASHTRA

AHMEDNAGAR

HDFC Bank Limited
Opp S.T Stand, Rahuri,
Ph.: 02426-234303

AMRAVATI

Sharekhan Limited
Badnera Road,
Ph.: 0721-6454101/09422855286

GHOTI

Axis Bank Limited
Bhandardara Road,
Ph.: 02553-221361/62

MUMBAI

Integrated Enterprises (India) Limited
Fort,
Ph.: 022-22662825/22662728

Oriental Bank Of Commerce
Dr. Baba Saheb Ambedkar Rd.,
Parel (E),
Ph.: 022-24104891

Sharekhan Limited

Borivali (E),
Ph.: 022-42642441/09819032441

Borivali (W),
Ph.: 022-28684068/09224794440

42 M.G. Road, Ghatkopar (E),
Ph.: 022-25010903/09821088192
Dhanukar Wadi,
M. G. Road, Kandivali (W),
Ph.: 09833137507/08652255665

Neelam Nagar, Mulund (E),
Ph.: 022-25637021/09833970008
Near Angithi Restaurant,
Vile Parle (W),
Ph.: 022-26143000/09930186206

Stock Holding Corporation of India Limited
Dr B. A. Rd,
Ph.: 022-24115313/24125310

The Saraswat Co-Operative Bank Limited
Opp. Ghatkoapr Railway Station,
Ghatkopar (E),
Ph.: 022-25012167

NAGPUR

Sharekhan Limited
Trimurti Nagar, Bhange Vihar,
Ph.: 09890597500

Nr. Amardeep Cinema, Itwari,
Ph.: 0712-2730320/09822224331

Dr. Ambedkar Colony,
Ph.: 0712-2645874/09422111117

PARBHANI

Sharekhan Limited
Dr. Hegdewar Road,
Ph.: 09422113882

PUNE

Kotak Securities Limited
Jangali Maharaj Road,
Shivaji Nagar,
Ph.: 020-41225960-66
Sharekhan Limited
Jangali Maharaj Road,
Shivaji Nagar,
Ph.: 020-66021556/
66010858/09822011048

Nda Pashan Road,
Bavdhan,
Ph.: 09552508201

RAIGAD

IDBI Capital Market Services Limited
Alibaug,
Ph.: 09326514350

SANGLI

HDFC Bank Limited
Josi Galli, Tasgaon,
Ph.: 02346-240152

THANE

Sharekhan Limited
G.B.Road,
Ph.: 022-41225700/09321101617

MUMBAI

Sharekhan Limited
S. V. Road Jogeshwari (W),
Ph.: 022-40214562/09820487769

ORISSA

BALUGAON

IDBI Bank Limited
Ph.: 06756-220800/220900

ROURKELA

Kotak Securities Limited
Main Road, Uditnagar,
Ph.: 0661-251447-49/2513901

PUDUCHERRY

PUDUCHERRY

Acumen Capital Markets (India) Limited
Kamaraj Nagar,
Ph.: 4136-450111

PUNJAB

BATALA

Acumen Capital Markets (India) Limited
Gurudhapur,
Ph.: 017-23244945

BHADSON

HDFC Bank Limited
Nabha Road,
Ph.: 01765-260192

KHARAR

HDFC Bank Limited
Desumajra,
Ph.: 09914433510

RAJASTHAN

ABU ROAD

HDFC Bank Limited
Mount Road,
Ph.: 09667224042

BIKANER

Kotak Securities Limited
Rani Bazar,
Ph.: 0515-2202911

SIKKIM

GANGTOK

Kotak Securities Limited
New Market Road, M.G.Marg,
Ph.: 03592-204631

TAMIL NADU

CHENNAI

Geojit BNP Paribas Financial Services Limited
T Nagar, Ph.: 044-42071930/
42070271/09789998380

DINDUGUL

Acumen Capital Markets (India) Limited
Thamaraipadi,
Ph.: 0451-2433550

PORTNOVA

Sharekhan Limited
Cuddalore,
Ph.: 04144-243438/09488988308

TIRUPUR

Acumen Capital Markets (India) Limited
571, Palladam Road,
Ph.: 09842271394

UTTAR PRADESH

ALLAHABAD

HDFC Bank Limited
Akbarpur Sallahpur,
Ph.: 0532-2584018

GAJRAULA

HDFC Bank Limited
Indra Chowk, J. P. Nagar,
Ph.: 05924-254560

GHAZIABAD

Sharekhan Limited
Chhota Kaila,
Ph.: 09958754369

GORAKHPUR

Sharekhan Limited
Sugar Mill Road,
Ph.: 09580829207

GOVERDHAN

HDFC Bank Limited
Barsana Road,
Ph.: 0565-2812034

LUCKNOW

Sharekhan Limited
Aliganj,
Ph.: 09918889222

WEST BENGAL

BURDWAN

Sharekhan Limited
Bhangakuthi,
Ph.: 09830065164

KOLKATA

HDFC Bank Limited
Little Russel Street,
Ph.: 033-44075536

Kotak Securities Limited
41B, N S C Bose Road,
Ph.: 033-24816333

Sharekhan Limited
Bansdronei Jadavpur,
South 24 Parganas,
Ph.: 09477457920

MEDINIPUR

Axis Bank Limited
Bahagram,
Ph.: 03228-252231/50

List of Participants offering *SPEED-e*

Sr. No.	Participant Name
1	Abhipra Capital Limited
2	Acumen Capital Markets (India) Limited
3	Aditya Birla Money Limited
4	Adroit Financial Services Private Limited
5	Alankit Assignments Limited
6	ASE Capital Markets Limited
7	Ashika Stock Broking Limited
8	Ashlar Securities Private Limited
9	Axis Bank Limited
10	Bajaj Capital Investor Services Limited
11	Bank of Baroda
12	Barclays Securities (India) Private Limited
13	Bharat Bhushan Equity Traders Limited
14	Bhargava Lodha Stock Brokers Private Limited
15	BMA Wealth Creators Limited
16	BOI Shareholding Limited
17	Bonanza Portfolio Limited
18	Canara Bank
19	Canon Capital and Finance Limited
20	CFS Financial Services Private Limited
21	Cholamandalam Securities Limited
22	Composite Securities Limited
23	Consortium Capital Private Limited
24	Dalmia Securities Private Limited
25	Dayco Securities Private Limited
26	DSN Securities Limited
27	DSP Merrill Lynch Limited
28	Dynamic Equities Private Limited
29	East India Securities Limited
30	Eastern Financiers Limited
31	Edelweiss Broking Limited
32	Edelweiss Stock Broking Limited
33	Elbee Securities Pvt. Limited
34	Elite Stock Management Limited
35	Eureka Stock & Share Broking Services Limited
36	Farsight Securities Limited
37	Geojit BNP Paribas Financial Services Limited
38	Ghalla Bhansali Stock Brokers Pvt. Limited
39	Globe Capital Market Limited
40	Gogia International Securities Limited
41	Guinness Securities Limited
42	HDFC Bank Limited
43	HSBC InvestDirect Securities (India) Limited
44	ICICI Bank Limited
45	IDBI Bank Limited

Sr. No.	Participant Name
46	IDBI Capital Market Services Limited
47	IL&FS Securities Services Limited
48	Indbank Merchant Banking Services Limited
49	Indsec Securities and Finance Limited
50	Indus Portfolio Private Limited
51	IndusInd Bank Limited
52	Integrated Enterprises (India) Limited
53	InvestMentor Securities Limited
54	J K Securities Private Limited
55	Janata Sahakari Bank Limited, Pune
56	Jhaveri Securities Limited
57	JM Financial Services Private Limited
58	JRG Securities Limited
59	Jyoti Portfolio Limited
60	Karvy Stock Broking Limited
61	KIFS Securities Limited
62	Kotak Mahindra Bank Limited
63	Kotak Securities Limited
64	Maheshwari Technical and Financial Services Limited
65	Mansukh Securities and Finance Limited
66	Marck Securities Private Limited
67	Marwadi Shares & Finance Limited
68	Master Capital Services Limited
69	MF Global Sify Securities India Private Limited
70	Microsec India Limited
71	Monarch Project & Finmarkets Limited
72	NDA Securities Limited
73	Nirmal Bang Securities Private Limited
74	O.J.Financial Services Limited
75	Oriental Bank of Commerce
76	Peerless Securities Limited
77	Pelf Finstock Limited
78	Pravin Ratilal Share and Stock Brokers Limited
79	Ratnakar Securities Private Limited
80	RBC Financial Services Private Limited
81	Reliance Capital Limited
82	Religare Securities Limited
83	Sajag Securities Private Limited
84	Sam Global Securities Limited
85	Sharekhan Limited
86	Shree Bahubali International Limited
87	Shri Parasram Holdings Private Limited
88	Shriram Insight Share Brokers Limited
89	Smart Equity Brokers Private Limited
90	South Gujarat Shares & Sharebrokers Limited
91	SS Corporate Securities Limited
92	SSD Securities Private Limited

Sr. No.	Participant Name
93	Standard Chartered Securities (India) Limited
94	Star Finvest Private Limited
95	State Bank of India
96	Stock Holding Corporation of India Limited
97	Tamilnad Mercantile Bank Limited
98	The Calcutta Stock Exchange Limited
99	The Cosmos Cooperative Bank Limited
100	The Federal Bank Limited
101	The Jammu and Kashmir Bank Limited
102	The Kapol Co-Operative Bank Limited
103	The Saraswat Co-Operative Bank Limited
104	The Surat People's Co-Operative Bank Limited
105	The Thane Janata Sahakari Bank Limited
106	Trans Scan Securities Private Limited
107	Trustline Securities Limited
108	Union Bank of India
109	Ventura Securities Limited
110	Vivek Financial Focus Limited
111	Vogue Commercial Company Limited
112	Zuari Investments Limited

List of Publications

Name	Content
Investor's Guide to Depositories	This booklet is in the form of an 'FAQ'. It describes the facilities, benefits and precautions in using the NSDL depository system. The guide is available in English, Hindi and other regional languages.
Handbook for NSDL Depository Operations Module	It covers the depository operations in detail and can be used as a reference book for NCFM - Depository Operations module.
Compliance Manual for Depository Participants	A compilation of provisions of Depositories Act, SEBI Regulations, SEBI Circulars, NSDL Bye Laws and Business Rules and circulars with subject index. It is updated upto July 2011.
Bye Laws and Business Rules of NSDL	A handy compilation of the Business Rules and Bye Laws of NSDL. It is updated upto June 2010.
Master Circular on Account Opening	It gives guidelines in respect of opening of depository accounts. It is updated upto September 2011.
Master Circular on Delivery Instruction Slips	It gives guidelines in respect of issuance, re-issuance and execution of Delivery Instruction Slips (DIS). It is updated upto August 2011.
SPEED-e Brochures & FAQ	It gives the overview of services, benefits and safety features available in SPEED-e facility of NSDL.
IDeAS Brochures	It gives the overview of services, benefits and safety features available in IDeAS facility of NSDL.

Contact NSDL at the following email address info@nsdl.co.in for details.

Securities admitted for Dematerialisation during October 2011

COMPANY	ISIN	COMPANY	ISIN
A. M. Todd Company India Private Limited	INE650M01019	Keventer Projects Limited	INE616M01010
Abhijeet Bihar Roadways Limited	INE632M01017	Lakshmi & Manager Holdings Limited	INE644M01012
Accel Frontline Services Limited	INE589M01019	Mainstream Commercial Limited	INE645M01019
Adventz Infraworld India Limited	INE702M01018	Mangalam Engineering Projects Limited	INE630M01011
Amar Chitra Katha Private Limited	INE594M01019	Matix Fertilisers And Chemicals Ltd.	INE631M01019
Ambes Tradedeal Limited	INE633M01015	Meenakshi Infrastructures Private Limited	INE636M01018
Best Foods Limited	INE483M01015	Mikado Realtors Private Limited	INE640M01010
Birla Research & Lifesciences Limited	INE568M01013	MKJ Tradex Limited	INE615M01012
Bothra Metals And Alloys Limited	INE583M01012	Moser Baer Energy & Development Limited	INE699M01016
Chennai Elevated Tollway Limited	INE691M01013	Nidhi Financial Consultants Limited	INE596M01014
Dankuni Projects Limited	INE620M01012	NSL Cotton Corporation Private Limited	INE695M01014
Deligent Road Carriers Ltd.	INE703M01016	Osian Industries Limited	INE634M01013
Diligent Investment Limited	INE669M01019	Oswal F.M. Hammerle Textiles Limited	INE648M01013
Dirk India Private Limited	INE668M01011	Palco Metals Limited	INE239L01013
DSK Real Estates Limited	INE618M01016	Poena Power Supply Limited	INE652M01015
ECOCAT (INDIA) Private Limited	INE684M01018	Polo Queen Industrial And Fintech Limited	INE689M01017
Galaxy Mercantiles Limited	INE603M01018	Portside Estates Limited	INE617M01018
GKC Projects Limited	INE688M01019	Precious Energy Services Private Limited	INE700M01012
Goldman Sachs Services Private Limited	INE590M01017	Prime Vyapaar Private Limited	INE671M01015
Guttaseema Wind Energy Company Private Limited	INE649M01011	Quick Management Services Limited	INE613M01017
IL&FS Wind Power Limited	INE610M01013	Raghuleela Estates Private Limited	INE704M01014
Indiabulls Builders Limited	INE651M01017	Ramky Pharma City (India) Limited	INE606M01011
Indore Steel & Iron Mills Limited	INE657M01014	Sagun Trexim Limited	INE521M01012
Intellectual Capital Advisory Services Private Limited	INE647M01015	Sanden Vikas (India) Limited	INE658M01012
Ishan Dyes And Chemicals Limited	INE561M01018	Saptarishi Hotels Private Limited	INE659M01010
Jaypee Fertilizers & Industries Limited	INE693M01019	Sarvesh Housing Projects Private Limited	INE614M01015
Jaypee Sports International Limited	INE670M01017	Sata Vikas India Private Limited	INE683M01010
Jaypee Uttar Bharat Vikas Private Limited	INE696M01012	Scottish And Newcastle India Private Limited	INE639M01012
JRE Infra Private Limited	INE593M01011	Sensitive Merchandise Limited	INE621M01010
Jupiter Life Line Hospitals Limited	INE682M01012	Sevenhills Healthcare Private Limited	INE226I01016
Just Dial Limited	INE599M01018	Shell Transource Private Limited	INE626M01019
Kamla Shanti Landmarc Property Private Limited	INE660M01018	Shreyas Stocks Private Limited	INE629M01013
Kanpur Fertilizers & Cement Limited	INE698M01018	Shubhlaxmi Dyetex Private Limited	INE605M01013
Karan Housing Projects Ltd.	INE619M01014		

COMPANY	ISIN	COMPANY	ISIN
Smartchamps IT And Infra Limited	INE692M01011	Tyco Electronics Systems India Private Limited	INE687M01011
Southern Latex Limited	INE410M01018	Universal Energies Limited	INE543M01016
Sphereorigins Multivision Private Limited	INE638M01014	Universal Sompo General Insurance Company Limited	INE635M01010
T.N.R. Infrastructures Limited	INE484M01013	Vasan Health Care Private Limited	INE701M01010
Tata Power Renewable Energy Limited	INE607M01019	Vashali Commercial Limited	INE643M01014
Ticel Bio Park Limited	INE694M01017	Vista Steel Private Limited	INE646M01017
Timken India Manufacturing Private Limited	INE686M01013	Wanderland Real Estates Private Limited	INE591M01015
Total Dental Care Private Limited	INE690M01015	Yashasvi Yarns Limited	INE612M01019
Tyco Electronics Corporation India Private Limited	INE685M01015		

NSDL Calendar of Events

Investor Depository Meets

December 1, 2011	Srikakulam, Andhra Pradesh	December 9, 2011	Hassan, Karnataka
December 2, 2011	Vizianagaram, Andhra Pradesh	December 10, 2011	Tumkur, Karnataka
December 3, 2011	Vishakhapatnam (Waltair RS), Andhra Pradesh	December 15, 2011	Modasa, Gujarat
December 8, 2011	Chikmagalur, Karnataka	December 16, 2011	Mehsana, Gujarat
		December 17, 2011	Udaipur, Rajasthan

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, A Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, A Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, A Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

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ADVANTAGES

+ Single interface to access and keep safe all your investments (Shares, Bonds, MF)



+ Hassle free transfer of Mutual Fund Units from one Demat Account to another



+ Simple procedure for change of address & bank account details. No need to correspond with each Mutual Fund separately

+ View your investments with valuation online on NSDL website (IDeAS)



+ Receive SMS alerts directly from NSDL for every debit / credit to Demat Account

+ Pledging your investments becomes easy



+ Simple procedure for nominating your loved ones



Trusted by over one crore account holders

NATIONAL SECURITIES DEPOSITORY LIMITED

4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.

Tel.: 91-22-2499 4200 • Fax: 91-22-2497 6351

E-mail: info@nsdl.co.in • Website: www.nsdl.co.in