

NSDL

NOVEMBER 2009 **UPDATE**

1

Crore

Demat accounts

Trillion

US\$ Custody Value

Ten Thousand

Service Centres

of Participants

across

Thousand

cities/towns

13th

**Anniversary
Issue**



NSDL

ISO 27001 Certified



National Securities Depository Limited

Trusted by one crore accountholders

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From the Managing Director & CEO's Desk



Dear Reader,

We are pleased to inform you that NSDL has completed 13 years of operations on November 7, 2009. NSDL, India's first and the largest depository, has crossed 1,00,00,000 (one crore) depository accounts in August 2009. During last two years, about 40 lakh new accounts were opened. This growth has taken place despite adverse market conditions in the recent past. This shows the trust and preference investors have for NSDL's depository system. In keeping with its practice of reaching out to the investors to get their feedback, NSDL has been conducting investor depository meets since its inception. During the last year, NSDL organized 87 such investor meets in 86 cities / towns and interacted with more than 8,000 investors across the country. So far, NSDL has conducted more than 1,000 such meets which have been attended by more than 1,20,000 investors.

As a part of its commitment towards providing investor friendly facilities, NSDL has been introducing various facilities and features in its depository system. Two such initiatives are **IDeAS** and **SPEED-e**. **IDeAS** facilitates depository accountholders to view latest balances on internet in a secure manner alongwith the value based on the previous day closing price and transactions that have taken place during last 30 days in the depository accounts. It also enables accountholders to download digitally signed monthly transaction statements for last 12 months. NSDL has recently launched direct registration facility viz; **DIRECT** (Direct Ideas REgistration of Client account) under **IDeAS**, details of which are given under NSDL initiatives in this issue. The other facility viz; **SPEED-e** enables accountholders to transfer shares through secured internet site. Under this facility, accountholders can carry-out market and off-market transfers depending upon the type of user i.e password user or e-Token/Smart card user. NSDL has withdrawn the user fees for Password based Beneficial Owners (BO) availing **IDeAS** and **SPEED-e** facilities. Thus, the internet - based facilities of **IDeAS** and **SPEED-e** are now available without any user fee for the Password based BOs.

After introducing the internet-based facilities, we found that mobile phones are gaining enormous popularity and its usage has increased by leaps and bounds. Keeping in view the ease of accessibility and the reach, NSDL introduced SMS alert facility in September 2007. NSDL started with SMS alerts for debits (transfers) and then gradually added many more types of alerts. Today, NSDL sends SMS alerts directly to investors for debits (transfers), credits in respect of IPOs, sub-division & bonus, failed debit instructions, overdue instructions, change of mobile number and change of address. NSDL looks at this facility as a means of risk containment mechanism and offers this facility free of cost. The popularity of this facility can be gauged from the fact that more than 55 lakh accountholders have registered for SMS alert facility so far. I take this opportunity to request those who have not yet registered for this facility, to avail SMS alert facility of NSDL. Looking at the success and popularity of our SMS alert facility, NSDL conceived another mobile based facility for the convenience of investors. Recently, NSDL has launched a facility viz; **SIMPLE** (Submission of Instruction through Mobile Phone Login Easily). This facility enables investors to submit delivery instructions to transfer securities to their broker's account (Clearing Member Pool Account) for the purpose of pay-in through GPRS enabled mobile phones. I am sure that this facility will also be useful to the accountholders of NSDL.

This NSDL Update, the 13th anniversary edition, gives details of the progress of NSDL on various fronts. NSDL holds more than 80% of securities held in dematerialised mode in India and our accountholders are serviced by Depository Participants through more than 10,000 service centres across more than 1,000 cities/towns in the country. More than 7,800 companies have joined NSDL. Till November 2009, 31,986 crore securities worth more than Rs. 50,80,800 crore (more than USD 1,000 billion) have been dematerialised with NSDL. This progress would not have been possible but for the market acceptance of our services and the support of investors in the capital market.

We are thankful to all those who stood by us and partnered us to reach this far.

I look forward to your continued support and cooperation.

Gagan Rai
Managing Director & CEO

News

NSDL successfully conducts shifting of depository operations to disaster recovery site during middle of settlement time

NSDL has been shifting depository operations to its Disaster Recovery Site (DRS) to verify its capability to continue operations in case of a disaster. Since the time of a disaster cannot be predicted, NSDL has been conducting this exercise from DRS at different time slots. In the past, when this exercise was first conducted the production had been shifted to the DRS after the End of Day (EOD) process was over and before the Beginning of Day (BOD) process had started so that regular operations do not get hampered. Subsequently, the shifting of production to DRS was also carried out several times on intra-day basis after the BOD process, but during early hours of the day. In October 2009, NSDL successfully carried out a more critical exercise by shifting the depository operations to DRS in the middle of the settlement time i.e. between pay-in and pay-out.

NSDL launches SIMPLE (Submission of Instruction through Mobile Phone Login Easily)

As a part of NSDL's continued commitment to deliver cost effective services to its clients, NSDL has launched a facility called SIMPLE (Submission of Instruction through Mobile Phone Login Easily) which enable the password based users of **SPEED-e** facility to submit instructions on **SPEED-e** website through their mobile phones.

Salient features of SIMPLE are as follows:

- 1) This facility will be available for password based users (hereinafter referred as Users) of **SPEED-e** facility.
- 2) Users can submit Client to Clearing Member Pool Account transfer instructions on **SPEED-e** website through their mobile phones.
- 3) Mobile phones of the Users with GPRS facility can be used for accessing **SPEED-e** website.

Further details about this are mentioned in Circular No. NSDL/POLICY/SPEED-e/2009/0012 dated October 23, 2009 issued to Participants and the same is posted on NSDL website www.nsdl.co.in.

RBI intimation - Updation of new bank account numbers

Reserve Bank of India (RBI) has introduced a new variant of Electronic Clearing System (ECS) called National Electronic Clearing Services (NECS) which aims to centralize the ECS operation and bring in uniformity and efficiency to the system. RBI has informed that with effect from January 1, 2010 necessary validations will be built into the ECS/NECS process flow to ensure that instructions with old/legacy account numbers are rejected at the ECS centre level itself. RBI has advised member banks participating in ECS/NECS to initiate necessary steps to update the old information pertaining to customer account numbers by December 31, 2009.

In view of the aforesaid, NSDL has advised its Participants to inform their Clients to provide new bank account numbers in case of change in the existing bank account numbers to ensure that instructions of ECS/NECS are not rejected on account of old/legacy account numbers.

This has been informed to Participants vide Circular No. NSDL/POLICY/2009/0091 dated October 5, 2009.

NCDO training / certification programmes for Participants

NSDL conducts training and certification programmes for Participants to increase the availability of trained personnel for depository operations. In October 2009, such programmes were conducted in Amritsar, Aurangabad, Bangalore, Bhubaneshwar, Chandigarh, Chennai, Coimbatore, Hyderabad, Jaipur, Jaysingpur, Kochi, Kolkata, Lucknow, Madurai, Mangalore, Mumbai, Nagpur, New Delhi, Pathankot, Patna, Pune, Rajkot, Tharjuvar, Tirunelveli and Trivandrum.

Amrapali Capital and Finance Services Ltd becomes operational as a Participant of NSDL

In October 2009, Amrapali Capital and Finance Services Ltd (DP ID IN303760) was made operational as a Participant of NSDL. It will conduct its depository operations from Ahmedabad. This takes the total number of operational Participants to 284 and the total number of DPM set-ups to 359.

Name Change of Participants

Old Name	New Name	DP ID
Gogia International Securities Limited	Gogia Capital Services Limited	IN300589
Citigroup Wealth Advisors India Pvt. Ltd.	Citigroup Global Markets India Pvt. Ltd.	IN303245
H. Nyalchand Financial Services Limited	H. Nyalchand Financial Services Private Limited	IN302488

Subscription to *SPEED-e*

Three more Participants have subscribed to the *SPEED-e* facility:

- Ashika Stock Broking Limited (IN303591)
- Bank of Baroda (IN300870)
- The Saraswat Co-Operative Bank Limited (IN300829)

Clients of the above mentioned Participants can now avail of the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 89.

Performance Summary

Investors :

Month - Year	Client Accounts
	No. of Accounts (lakh)
Oct-07	81.05
Oct-08	95.62
Oct-09	101.88

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Oct-07	250	6,674	764
Oct-08	266	8,136	888
Oct-09	284	10,069	1,062

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (Rs. Cr.)
Oct-07	22,607	50,26,480
Oct-08	27,189	29,57,140
Oct-09	31,831	48,43,526

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (Rs. Cr.)
Oct-07	759	1,78,119
Oct-08	538	1,07,325
Oct-09	760	1,43,988

(Single Side)

Companies

ISSUERS

Month - Year	No. of Companies Operational
Oct-07	7,026
Oct-08	7,722
Oct-09	7,872

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (Rs. Cr.)
Aug-09	511	6,622	6,47,514
Sep-09	512	6,556	6,44,349
Oct-09	514	6,531	6,51,949

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (Rs. Cr.)
Aug-09	636	1,055	65,857
Sep-09	606	968	58,304
Oct-09	581	940	61,589

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (Rs. Cr.)
Aug-09	179	795	86,102
Sep-09	178	770	85,796
Oct-09	187	858	1,03,798

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (Rs. Cr.)
Aug-09	48	670	2,48,678
Sep-09	46	629	2,33,937
Oct-09	47	632	2,49,758

NSDL Initiatives

During the last 12 months (i.e. November 2008 to October 2009), the following initiatives were taken by NSDL with an objective of spreading awareness about the depository system, its benefits and safety features and provide new facilities amongst all its users:

New Facilities:

➤ **DIRECT (Direct Ideas REgistration of Client accountT)**

NSDL's common internet facility called *IDeAS* facilitates demat account holders to view latest balances alongwith the value based on the previous day closing price and transactions that have taken place during last 30 days in the depository accounts. *IDeAS* also features the facility of downloading, printing and viewing digitally signed monthly transaction statements for previous 12 months.

NSDL launched DIRECT facility in September 2009 according to which all new depository accounts under individual category where an email address is provided by the client are directly registered by NSDL for *IDeAS*. An email is sent to these accountholders to activate the *IDeAS* facility within a period of two months.

➤ **SIMPLE (Submission of Instruction through Mobile Phone Login Easily)**

In October 2009, NSDL launched a facility called SIMPLE which enables the password based users of *SPEED-e* facility to submit instructions on *SPEED-e* website through their mobile phones.

Salient features of SIMPLE are as follows:

- 1) This facility is available for password based users (hereinafter referred as Users) of *SPEED-e* facility.
- 2) Users can submit Client to Clearing Member Pool Account transfer instructions on *SPEED-e* website through their mobile phones.
- 3) Mobile phones of the Users with GPRS facility can be used for accessing *SPEED-e* website.

➤ **Partial settlement of pay-in related instructions executed from Client to Clearing Member Pool Account**

With effect from March 21, 2009, NSDL introduced a feature for partial settlement of pay-in related instructions. As per this feature, in case of insufficient balance in Client's account, instructions given by a Client to transfer securities from its account to the Clearing Member (CM) Pool Account will get executed to the extent of balance available in the Client's account at the time of pay-in of securities.

➤ **SMS Alert facility**

After launching SMS Alert facility in September 2007, NSDL has been enhancing the facility for demat accountholders by adding new features. During the last year, NSDL introduced SMS Alerts in respect of overdue instructions and change of address.

SMS alerts are now sent by NSDL to demat accountholders for the following:

- (1) Intra-day alerts for all Debits (transfers)
- (2) Credits for IPO, bonus & sub-division
- (3) Failed instructions
- (4) Overdue instructions
- (5) Change of mobile number
- (6) Change of address

NSDL offers this facility free of cost which benefits the investors. The SMS alert facility is available to the investors provided they have given their mobile numbers to their Participants and made a request for this facility.

Towards Investor Awareness:

- **Investor Depository Meets (IDMs)** - NSDL interacted with investors throughout the country through a series of ‘Investor Depository Meets’. These programs were organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment.

During November 1, 2008 to October 31, 2009, NSDL organised IDMs in 87 cities/towns across the country, which were attended by more than 8,000 persons. The zone-wise details of these seminars organised during the period are given below:

Zone	No. of IDMs
East	12
West	28
North	21
South	26
Total	87



- **Advertisement Campaign** - NSDL has been conducting advertisement campaigns since 2001. This year, NSDL conducted such advertisement campaign on the theme of “One Crore demat accountholders” in August 2009. The campaign spanned across six publications with an estimated circulation of about 36 lakh.
- **Investor’s Guide** - NSDL publishes “An Investor’s Guide to Depositories” for promoting investor’s understanding of the system. This guide attempts to answer frequently asked questions raised by the investors in relation to depository operations. Apart from English and Hindi, this guide is also available in some other regional languages. Investors may obtain a copy of this publication free of cost from NSDL, Mumbai and its branches at New Delhi, Kolkata and Chennai. It can also be downloaded from NSDL’s website.

- **Posters** - NSDL has been undertaking various steps to educate the investors and keep them apprised of the developments taking place in the depository system. As a part of our investor awareness initiatives, NSDL has recently distributed posters to Participant service centres to help Clients know about the features and facilities in the NSDL depository system and precautions that they need to take while opening and operating their depository accounts.
- **NSDL Website** - NSDL website hosts exhaustive and updated information for investors, companies and Participants. Details available on the website include list of securities available for demat, Participant locations and contact details, dates & venues of forthcoming IDMs, various search options, important circulars, Bye Laws & Business Rules of NSDL etc. The website also facilitates registration of grievance and displays list of companies with poor demat confirmation record.

Towards delivering cost effective services.:

- **33% reduction in minimum fees charged to Participants:** Keeping with its continued commitment to deliver cost effective services, NSDL has reduced the minimum fee chargeable to Participants from Rs.1,50,000 per annum to Rs.1,00,000 per annum.
- **75% reduction in maximum charges for brokers (Clearing Member Pool Accounts) :** With effect from July 1, 2009, NSDL reduced the settlement fee levied on its Participants in respect of Clearing Member Pool Accounts from a maximum of Rs.20,000 per quarter to Rs.5,000 per quarter. This means a reduction in charges by 75%.

Towards education of Business Partners etc.:

- **NCFM / NCDO training programmes for Participants:** NSDL conducts NCFM (NSE's Certification in Financial Markets - NSDL-Depository Operations Module) oriented training programmes for Participants to facilitate them to appear for the NCFM examination. NSDL also started NSDL Certification in Depository Operations (NCDO) for Participants of NSDL in March 2009. NCDO oriented training programme covers various aspects of depository operations followed by a written test. Certificate is given to the participant on successful passing of the test which is valid for a period of five years. The persons holding the certificate are considered as qualified personnel in relation to the requirement for service centres of the Participant.



During November 2008 to October 2009, 204 such programmes were organised in 50 cities/towns, which were attended by more than 12,300 representatives representing various Participants.

- **Systems Oriented Training Programme (SOTP) for Participants:** In order to disseminate knowledge about various functionalities and information about NSDL's depository system such as features, reports,

utilities, back office interface, system security, system performance, frequently asked questions at Help Desk, business continuity plan, etc., NSDL conducted Systems Oriented Training Programme (SOTP) for its Participants. Two such programmes were conducted in Mumbai and one in Chennai which were attended by more than 180 officials of Participants.

- **Other training programmes for business partners:** NSDL periodically conducts three-day training programmes for Participants and two-day training programmes for Issuers / R & T Agents. Details of such programmes conducted from November 2008 to October 2009 are as follows:

Sl. No.	Category	No. of Business Partner entities trained	Total Attendees
1	Participants	23	98
2	Issuers / R & T Agents	9	18
Total		32	116

Other Initiatives:

- **Central Recordkeeping Agency (CRA):** NSDL has set up a Central Recordkeeping Agency (CRA) on behalf of the Pension Fund Regulatory and Development Authority (PFRDA) for the New Pension System (NPS) in India. Under the NPS, each new government employee opens an account with CRA who is identified through unique Permanent Retirement Account Number (PRAN). In this system, deductions are made from employee's salary on monthly basis and an equal amount of contribution is made by the Government. The amount gets invested through PFRDA appointed Pension Fund Managers (PFMs). The accumulated amount is reflected in employee's Permanent Retirement Account while employee is working and shall use the accumulations at retirement to procure a pension for the rest of the life. Subscribers in this system would benefit in many ways like portability across jobs and locations, choices of Pension Funds and investment schemes, freedom to switch between service providers and nationwide access. NPS is now available to all citizens of India, other than Government employees already covered under NPS. More details about this can be accessed through the CRA web-site <http://www.npscra.nsdl.co.in>
- **NSDL to host next Asia-Pacific Central Securities Depository Group meeting:** Asia-Pacific Central Securities Depository Group (ACG) was formed in November 1997 as an informal international organisation with the objective to facilitate the exchange of information and to promote mutual assistance among member securities depositories and clearing organisations in the Asia Pacific region. Its members include 30 institutions including NSDL in 21 countries. NSDL is an active member of ACG and participates in all its meetings including the Task Forces.

The ACG General Meeting is held once a year and is hosted by one of the members. NSDL had hosted the 2nd meeting of the ACG in the year 1998. The last ACG General Meeting was hosted by Vietnam Securities Depository in October, 2009. During the said ACG General Meeting held at Hanoi, Vietnam, NSDL was selected as the host for the next ACG General Meeting.

The next ACG meeting is proposed to be held in Mumbai, India during the last quarter of calendar year 2010.

Corporate Action Statistics

Sr.No.	Description	During October 2009		For F.Y. 2009-10 (Upto October 2009)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	1,866,820	13,641,521,261	4,722,666	46,851,243,767
2	Preference Shares	9	320,529,507	3,632	554,763,843
3	Bonds	3,299	73,905,084	99,048	3,238,762,607
4	Commercial Papers	235	566,720	1,295	2,430,711
5	Certificates Of Deposit	153	2,073,500	1,582	17,335,339
6	Securitised Instruments	85	2,743,628	323	11,893,134
7	Mutual Fund Units	4,627	501,759,038	41,376	844,960,273
8	Warrants	4,301	92,158,350	5,346	137,523,090
9	Postal Savings Certificates	2	4,001,000*	12	4,656,000*
10	Commodities	163	3,372	2,235	60,397
	Total	1,879,694	14,639,261,459	4,877,515	51,663,629,161

* Value in Rupees

List of Companies that have changed Names

(During October 2009)

Sr. No.	Old Name	New Name
1	Commitment Capital Services Limited	Urja Global Limited
2	Singhal Overseas Limited	Roselabs Limited
3	Roselabs Limited	Roselabs Industries Limited
4	Apollo Sindhoori Capital Investments Limited	Aditya Birla Money Limited
5	Religare General Insurance Company Limited	Religare Health Insurance Company Limited
6	Birla Capital International AMC Limited	Birla Sun Life Asset Management Company Limited
7	Coromandel Fertilisers Limited	Coromandel International Limited
8	Macmillan India Limited	MPS Limited
9	Domino's Pizza India Limited	Jubilant FoodWorks Limited
10	Sterling Infotech Limited	Siva Industries and Holdings Limited
11	Adlabs Films Limited	Reliance MediaWorks Limited
12	Janpath Investments and Holdings Limited	Avantha Realty Limited
13	NewQuest Corporation Limited	Avantha Holdings Limited

New Participant Centres

ALLAHABAD IDBI Bank Limited Ph: 0532-6451901/902	Sharekhan Limited Ph: 06792-253000/9861118090	CHENNAI ICICI Bank Limited Ph: 044-43002006	ERODE ICICI Bank Limited Ph: 0424-4559301
AMBALA Oriental Bank of Commerce Ph: 0171-2654255/2655155/2654337	BATALA Oriental Bank of Commerce Ph: 01871-241077/240348	Sharekhan Limited Ph: 044-4288888/9444335113	GANGTOK Kotak Securities Limited Ph: 03592-204631
AMRITSAR Oriental Bank of Commerce Ph: 0183-2506963	BELLARY ICICI Bank Limited Ph: 9243602401	COIMBATORE Sharekhan Limited Ph: 0422-4387508/9994675750	GHAZIABAD Tamilnad Mercantile Bank Limited Ph: 0120-2704963
ANAKAPALLE Steel City Securities Limited Ph: 8924-232367-70, 9848550438	BELTOLA Axis Bank Limited Ph: 0361-2306225	CUTTACK Sharekhan Limited Ph: 0671-3254628/9937194628	G-MAMIDADA Steel City Securities Limited Ph: 0884-2319775, 2319199, 9848842707
ANAPARTHY Steel City Securities Limited Ph: 8857-228649, 9848164633	BHANDARA Sharekhan Limited Ph: 07184-650261/9890797071	DAHOD Sharekhan Limited Ph: 02673-248869/9227120700	GUNA Axis Bank Limited Ph: 0754-2223071/2/3
BAHADURGARH IDBI Bank Limited Ph: 01276-235101	BHATINDA Oriental Bank of Commerce Ph: 0164-2253840/2238940/2235584	DANKUNI Axis Bank Limited Ph: 033-26591171/72	GURDASPUR Oriental Bank of Commerce Ph: 01874-231332
BALASORE IDBI Bank Limited Ph: 06782-268144/268079	BIDADI Axis Bank Limited Ph: 080-27282346/7	DARBHANGA Sharekhan Limited Ph: 06272-220058/9334460707	GWALIOR IDBI Bank Limited Ph: 0751-2321995
BANGALORE IDBI Bank Limited Ph: 080-23551122 - 24	BOBBILI Steel City Securities Limited Ph: 9440123116/9347203335	DAUSA Axis Bank Limited Ph: 01427-223056/86	HARDOI Axis Bank Limited
Sharekhan Limited Ph: 080-26764738/9980556364	CHANDIGARH Oriental Bank of Commerce Ph: 0172-2703005/2705883/2722617/2720526	DERRA BASSI Oriental Bank of Commerce Ph: 01762-280017/282117	HAZARIBAG ICICI Bank Limited Ph: 06546-270828, 270830
Sharekhan Limited Ph: 9901065308	Oriental Bank of Commerce Ph: 0172-2782116/2543625/2775638	DHARMAPURI Axis Bank Limited Ph: 0171-2536484/7/6	HYDERABAD IDBI Bank Limited Ph: 040-66444423
BARIPADA Axis Bank Limited Ph: 06792-255318/74/5	Oriental Bank of Commerce Ph: 0172-2791735/2792981	DURGAPUR IDBI Bank Limited Ph: 0788-2322884	JABALPUR Axis Bank Limited Ph: 0761-2340005/6
	Oriental Bank of Commerce Ph: 0172-2606304/2606695	ELURU Steel City Securities Limited Ph: 08812-223067-69, 9848170355	JAGRAON Oriental Bank of Commerce Ph: 01624-540374

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JAIPUR

ICICI Bank Limited
Ph: 0141-5110422

JALANDHAR

Oriental Bank of Commerce
Ph: 0181-5009785

Oriental Bank of Commerce
Ph: 0181-2621096/2621690/
2472618

JIND

Sharekhan Limited
Ph: 06186-263335/9416143084

KANPUR

Axis Bank Limited
Ph: 0512-2543056/53/54

ICICI Bank Limited
Ph: 0512-6457812

IDBI Bank Limited
Ph: 0512-2305437/28

KARAIKUDI

Axis Bank Limited
Ph: 04565-226430/431

KARNAL

Oriental Bank of Commerce
Ph: 0184-2267912

KASARAGOD

Sharekhan Limited
Ph: 04994-225748/9495904943

KASHIPUR

ICICI Bank Limited
Ph: 05947-260847

KATHUA

Sharekhan Limited
Ph: 01922-232577/9419151996

KERALA

Sharekhan Limited
Ph: 0486-2220256/9388636803

KHAMBHAT

Monarch Project & Finmarkets
Limited
Ph: 02698-222468

KHAMMAM

ICICI Bank Limited
Ph: 08742-220329

Sharekhan Limited
Ph: 08742-211000/9885473688

Sharekhan Limited
Ph: 0873-231051/9866153105

KHURJA

Sharekhan Limited
Ph: 05738-8247132/9927228949

KOLKATA

IDBI Bank Limited
Ph: 033-25844710

IDBI Bank Limited
Ph: 033-23594017

Sharekhan Limited
Ph: 033-22830055
7190/91/9830065164

KOTA

IDBI Bank Limited
Ph: 0744-2363256

Sharekhan Limited
Ph: 9925643085

KOTHAMANGALAM

ICICI Bank Limited
Ph: 0484-3058037

KOWDIAR

ICICI Bank Limited
Ph: 0471-2312084

KRITI NAGAR

IDBI Bank Limited
Ph: 011-25191171/72

KUNNAMKULAM

ICICI Bank Limited
Ph: 04885-224199

KURUKSHETRA

Oriental Bank of Commerce
Ph: 01744-238509

LUDHIANA

Oriental Bank of Commerce
Ph: 0161-5199801

Oriental Bank of Commerce
Ph: 0161-5092844

Oriental Bank of Commerce
Ph: 0161-5092833

MADANAPALLE

Steel City Securities Limited
Ph: 08571-226909, 984634352

MANDSAUR

ICICI Bank Limited
Ph: 07422-244407 - 09

MARKAPUR

Steel City Securities Limited
Ph: 08596-223529/222936,
9440322653

MOGA

Oriental Bank of Commerce
Ph: 01636-510092

MOHALI

Oriental Bank of Commerce
Ph: 0172-2225180/2270455

MUMBAI

ICICI Bank Limited
Ph: 022-23741793

ICICI Bank Limited
Ph: 022-40684666

IDBI Bank Limited
Ph: 022-28482969

Kotak Securities Limited
Ph: 022-22655074

Oriental Bank of Commerce
Ph: 022-28865215

Oriental Bank of Commerce
Ph: 022-26205126/26207102/
26211776

Oriental Bank of Commerce
Ph: 022-28402072

Oriental Bank of Commerce
Ph: 022-28757157/28966781

Oriental Bank of Commerce
Ph: 022-25701485

Oriental Bank of Commerce
Ph: 022-25914707/25921813

Sharekhan Limited

Sharekhan Limited
Ph: 022-28070636/9819325886

MYSORE

Axis Bank Limited
Ph: 0821-2343293/4/5

NAMAKKAL

IFCI Financial Services Limited
Ph: 04286-323555/277919,
09952623325

NAVI MUMBAI

Axis Bank Limited
Ph: 022-22329960/1/5

IDBI Bank Limited
Ph: 022-27641701

The Saraswat Co-Operative Bank
Limited
Ph: 022-27467944/45

The Saraswat Co-Operative Bank
Limited
Ph: 022-27461161/1164

NAWAN SHAHR

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Ph: 07423-407190

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Axis Bank Limited
Ph: 011-22329960/1/5

ICICI Bank Limited
Ph: 011-25084916

IDBI Bank Limited
Ph: 011-26272570

Sharekhan Limited
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ONGOLE

Sharekhan Limited
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Steel City Securities Limited
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2583417

PANIPAT

Oriental Bank of Commerce
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2638787

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ICICI Bank Limited
Ph: 0468-2322101

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Oriental Bank of Commerce
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PEDDAPURAM

Steel City Securities Limited
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Oriental Bank of Commerce
Ph: 01824-510138

PRODDATUR

Axis Bank Limited
Ph: 08564-240431/32/30

PUNE

IDBI Bank Limited
Ph: 020-26348064/26330810

Sharekhan Limited
Ph: 020-24478180/9767608787

The Cosmos Co-operative Bank Limited
Ph: 020-20240062

RAE BAREILLY

IDBI Bank Limited
Ph: 0535-2204343

RAIGARH

ICICI Bank Limited
Ph: 07762-300625

RAJKOT

Marwadi Shares & Finance Limited
Ph: 0281-2332001

RANCHI

Axis Bank Limited

RANIBENNUR

Axis Bank Limited
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ROHTAK

IDBI Bank Limited
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Oriental Bank of Commerce
Ph: 0161-5198823

SALEM

Sharekhan Limited
Ph: 0427-2441523/9500653773

SANGRUR

Sharekhan Limited
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SATTENAPALLI

Steel City Securities Limited
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9848945366

SHIMLA

Oriental Bank of Commerce
Ph: 0177-2652440, 2657974

SILCHAR

IDBI Bank Limited
Ph: 03842-221160/61/63

Stock Holding Corporation of India Limited

SINDHNUR

Axis Bank Limited
Ph: 08535-230503/4/5

SITARGANJ

Sharekhan Limited
Ph: 05948-254370/9758728428

SORAON

Sharekhan Limited
Ph: 05335-9838600951

TALEGAON

The Saraswat Co-Operative Bank Limited
Ph: 02114-224004 / 05

TARANTARN

Oriental Bank of Commerce
Ph: 01852-222641

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Oriental Bank of Commerce
Ph: 095251-2568089

Oriental Bank of Commerce
Ph: 022-28184682/28181821

Oriental Bank of Commerce
Ph: 022-21714430

Sharekhan Limited
Ph: 0251-2211062/9770453388

THODUPUZHA

ICICI Bank Limited
Ph: 04862-329113

TIRUNELVELI

Sharekhan Limited
Ph: 04633-9789996754

TIRUPUR

Sharekhan Limited
Ph: 0421-4325073/9789558888

TRIVANDRUM

ICICI Bank Limited
Ph: 0471-3057824

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9848598263

UDAIPUR

Marwadi Shares & Finance Limited
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UDHAMPUR

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UNJHA

Axis Bank Limited
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VADODARA

Sharekhan Limited
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VALSAD

Action Financial Services (India) Limited
Ph: 02632-242371, 9824188863

VITA SANGLI

ICICI Bank Limited
Ph: 02347-273651

List of Participants offering *SPEED-e*

Sr. No.	Participant Name
1	Abhipra Capital Limited
2	Adroit Financial Services Private Limited
3	Alankit Assignments Limited
4	Anagram Stockbroking Limited
5	Apollo Sindhoori Capital Investments Limited
6	ASE Capital Markets Limited
7	Ashika Stock Broking Limited
8	Axis Bank Limited
9	Bajaj Capital Investor Services Ltd.
10	Bank of Baroda
11	Bharat Bhushan Equity Traders Limited
12	Bhargava Lodha Stock Brokers Private Limited
13	BOI Shareholding Limited
14	Bonanza Portfolio Limited
15	Canara Bank
16	CFS Financial Services Private Limited
17	Composite Securities Limited
18	Consortium Capital Private Limited
19	Dalmia Securities Private Limited
20	Dayco Securities Private Ltd.
21	DBS Cholamandalam Securities Limited
22	DSN Securities Limited
23	DSP Merrill Lynch Ltd.
24	Dynamic Equities Private Limited
25	East India Securities Limited
26	Eastern Financiers Ltd.
27	Elite Stock Management Limited
28	Eureka Stock & Share Broking Services Limited
29	Farsight Securities Limited

Sr. No.	Participant Name
30	Geojit Financial Services Limited
31	Globe Capital Market Limited
32	Gogia International Securities Limited
33	HDFC Bank Limited
34	ICICI Bank Limited
35	IDBI Bank Limited
36	IL&FS Securities Services Limited
37	Indsec Securities and Finance Limited
38	Indus Portfolio Private Limited
39	IndusInd Bank Limited
40	Integrated Enterprises (India) Limited
41	InvestMentor Securities Limited
42	J K Securities Pvt. Ltd.
43	Janata Sahakari Bank Limited, Pune
44	JM Financial Services Private Limited
45	JRG Securities Ltd.
46	Jyoti Portfolio Limited
47	Karvy Stock Broking Limited
48	Khandwala Integrated Financial Services Private Limited
49	Kotak Mahindra Bank Limited
50	Kotak Securities Limited
51	Maheshwari Technical and Financial Services Limited
52	Mansukh Securities and Finance Limited
53	Marck Securities Private Limited
54	Marwadi Shares & Finance Limited
55	Master Capital Services Limited
56	MF Global Sify Securities India Private Limited
57	Microsec India Limited
58	Monarch Project & Finmarkets Limited
59	NDA Securities Limited
60	Oriental Bank Of Commerce

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Sr. No.	Participant Name
61	Peerless Securities Limited
62	Pelf Finstock Limited
63	Pravin Ratilal Share and Stock Brokers Limited
64	Ratnakar Securities Private Limited
65	RBC Financial Services Private Limited
66	Religare Securities Ltd.
67	Sajag Securities Private Limited
68	Sam Global Securities Limited
69	Sharekhan Limited
70	Shree Bahubali International Limited
71	Shri Parasram Holdings Private Limited
72	SS Corporate Securities Limited
73	Standard Chartered - STCI Capital Markets Limited
74	Standard Chartered Bank
75	Star Finvest Private Limited
76	State Bank of Indore
77	Stock Holding Corporation of India Limited
78	Tamilnad Mercantile Bank Limited
79	The Cosmos Cooperative Bank Ltd
80	The Federal Bank Limited
81	The Jammu and Kashmir Bank Limited
82	The Saraswat Co-Operative Bank Limited
83	The Surat People's Co-Operative Bank Limited
84	Trans Scan Securities (P) Ltd
85	Union Bank of India
86	Ventura Securities Limited
87	Vivek Financial Focus Limited
88	Vogue Commercial Company Limited
89	Zuari Investments Limited

Securities admitted for Dematerialisation during October 2009

COMPANY	ISIN	COMPANY	ISIN
ABG Haldia Bulk Terminals Private Limited	INE586K01019	Niraj Realtors And Shares Private Limited	INE570K01013
Anand Lease And Finance Limited	INE583K01016	Nobel Hygiene Private Limited	INE588K01015
Ansal Colours Engineering Sez Limited	INE601K01016	PNB Housing Finance Limited	INE572E01012
Essar Telecommunications Holdings Private Limited	INE571K01011	Pune Solapur Expressways Private Limited	INE598K01014
Galaxy Surfactants Limited	INE600K01018	RG Promoters Private Limited	INE615K01016
Ind-Barath Power Infra Limited	INE603K01012	RKG Estates Private Limited	INE616K01014
Intrasoft Technologies Limited	INE566K01011	Rushil Decor Limited	INE573K01017
Kanakadhara Ventures Private Limited	INE585K01011	Shri Badrinarain Alloys And Steels Limited	INE579K01014
Krishnapatnam Power Corporation Limited	INE599K01012	Som Shiva (Impex) Limited	INE568K01017
KVK Energy & Infrastructure Private Limited	INE569K01015	Star Wire (India) Limited	INE604K01010
Mahindra Steel Service Centre Limited	INE640F01014	Syncom Healthcare Limited	INE602K01014
		UTI Trustee Company Private Limited	INE614K01019

List of Publications

Name	Content
An Investor's Guide to Depositories	This booklet is in the form of an 'FAQ'. It describes the facilities, benefits and precautions in using the NSDL depository system. The guide is available in English, Hindi and other regional languages.
Handbook for NSDL Depository Operations Module	It covers the depository operations in detail and can be used as a reference book for NCFM - Depository Operations module.
Compliance Manual for Depository Participants	A compilation of provisions of Depositories Act, SEBI Regulations, SEBI Circulars, NSDL Bye Laws and Business Rules and circulars with subject index. It is updated upto June 2009.
Bye Laws and Business Rules of NSDL	A handy compilation of the Bye Laws and Business Rules of NSDL. It is updated upto June 2005.
Master Circular On Account Opening	It gives guidelines in respect of opening of depository accounts. It is updated upto September 2009.
Master Circular On Delivery Instruction Slips	It gives guidelines in respect of issuance, re-issuance and execution of Delivery Instruction Slips (DIS). It is updated upto September 2009.
SPEED-e Brochures & FAQ	It gives the overview of services, benefits and safety features available in <i>SPEED-e</i> facility of NSDL.
IDeAS Brochures	It gives the overview of services, benefits and safety features available in <i>IDeAS</i> facility of NSDL.

Contact NSDL at email address info@nsdl.co.in for details.

NSDL Calendar of Events

Investor Depository Meets

December 10, 2009	Jamshedpur, Rajasthan	December 17, 2009	Bilaspur, Rajasthan
December 11, 2009	Bokaro, Rajasthan	December 18, 2009	Durg, Rajasthan
December 12, 2009	Ranchi, Rajasthan	December 19, 2009	Raipur, Rajasthan

Business Partner Training

NSDL's Certification in Depository Operations (NCDO)

December 12, 2009	Bhopal	January 9, 2010	Indore	February 13, 2010	Bangalore
December 12, 2009	Pondicherry	January 9, 2010	Hyderabad	February 20, 2010	New Delhi
December 19, 2009	Raipur	January 16, 2010	Chandigarh	February 27, 2010	Chennai
December 19, 2009	Siliguri	January 30, 2010	Jaipur	March 6, 2010	Kolkata
January 2, 2010	Ahmedabad	February 6, 2010	Kochi	March 13, 2010	Mumbai

Three Day Comprehensive Training Programme for Participants

December 8 - 10, 2009 Mumbai

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NSDL Certification Programme

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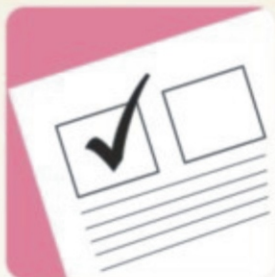
BE AN INFORMED DEMAT ACCOUNT HOLDER

Avail these facilities for safe, efficient and trustworthy Demat Experience



1. Nomination

- Either nominate or declare to your DP that you do not wish to nominate.
 - If one nominates, it is easier and simpler for transmission in the event of death of the account holder(s).
 - If nomination is not done, court intervention will be required for transmission, if value is more than Rs. One Lakh.
- Nomination can be changed by the account holder anytime.



2. View transactions through internet

- If you are comfortable with computers and have access to internet, avail of NSDL's internet based facility viz., iDeAS free of cost to:
 - View securities held in your demat account; alongwith valuation of your portfolio.
 - View latest transactions on an online basis.
 - Download, view and print digitally signed transaction statements.



3. Loan against shares

- Securities in your demat account can be pledged in favour of a lender (say a bank).
- You will continue to receive dividends and other corporate benefits on pledged securities.



4. Check your transaction statements

- Your DP will give you:
 - Monthly transaction statement, if you have done any transaction.
 - Quarterly transaction statement, if you have not done any transaction.
- Verify the balances and transactions carefully.



5. Free SMS alert facility

- Inform your mobile number to your DP for availing free SMS alert facility of NSDL.
- After the same is enabled by your DP, you will receive SMS directly from NSDL for:
 - Intra-day alerts for all debits (transfers)
 - Credits for IPO, bonus & sub-division
 - Failed instructions
 - Change of mobile number
 - Overdue instructions
 - Change of address
- This facility is provided free of cost by NSDL for demat account holders.
- Availing SMS alert facility is mandatory, if your demat account is operated by Power of Attorney holder.



6. Get direct credits for dividend, IPO refunds, etc

- Provide 9 digit MICR code of your bank-branch to your DP. On doing so, you will receive direct credits of dividend, IPO refunds, etc. provided;
 - Your company uses the RBI's electronic payment facility.
 - Your bank-branch is ECS enabled.



7. Remember to inform your DP, if you change your

- Postal address
- Bank account
- Email address
- Telephone number
- Mobile number
- No need to inform the company separately.



Trusted by one crore account holders

NATIONAL SECURITIES DEPOSITORY LIMITED

Trade World, A wing, 4th Floor, Kamala Mills Compound, Lower Parel, Mumbai - 400 013. • Tel: (022) 2499 4200 (60 lines) • Fax: (022) 2497 6351

For clarifications / grievances e-mail us at relations@nsdl.co.in • Please do visit our website at www.nsdl.co.in for more information